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Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences at the University of Mannheim

as at 30 July 2001

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 20/2001 pp. 16 et seqq.)

as at 11 July 2012

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 13/2012, pp. 80 et seq.)

as at 6 June 2016

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 16/2016 of 10 June 2016, pp. 29 et seqq.)

as at 10 March 2020

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 05/2020 of 17 March 2020, pp. 75 et seqq.)

as at 4 July 2025

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 06/2025, pp. 8 et seq.)

as at 1 July 2026

(Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 6/2026, pp. 90 et seq.)

This is a **non-official version** of the Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences. Only the version in the Bulletin of the President's Office (Bekanntmachungen des Rektorats) is legally binding.

In the German language version of these Regulations and Procedures Governing the Doctoral Dissertation, this section deals with the subject of gender-neutral language. For the English language version, this is not relevant and therefore omitted.

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Section 1 - Type and Purpose of the Doctorate

- (1) ¹The School of Business Informatics and Mathematics of the University of Mannheim awards the degree of the Doctor of Natural Sciences (doctor rerum naturalium - Dr. rer. nat.) based on the successful completion of a dissertation and an oral defense. ²The doctorate serves to prove that the doctoral student is able to pursue in-depth scientific research.
- (2) ¹The doctoral dissertation must deal with a topic in a field of study adequately represented at the School of Business Informatics and Mathematics. ²It must result from independent work and make a significant scientific contribution to the further development of this field of study.
- (3) ¹During the oral defense, the doctoral student must present the results of their dissertation and prove that they are able to discuss its scientific significance within the field of study.

Section 2 - Supervisors, Examiners, Evaluators

- (1) ¹Within the meaning of these Regulations and Procedures Governing the Doctoral Dissertation, supervisors can be full professors, junior professors, and senior academic staff members (Privatdozenten) of the School of Business Informatics and Mathematics of the University of Mannheim. ²With their consent, professors emeriti and former senior academic staff members (Privatdozenten) who have been released from their duties or have retired as well as full professors, junior professors, and senior academic staff members (Privatdozenten) who used to work for the university, have not been released from their duties and are not retired may also be appointed as supervisors. ³At least one supervisor must have the University of Mannheim as their main employer. ⁴The supervisors will supervise and advise the doctoral student during the doctoral program, receive the report on the status of the dissertation from

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the doctoral student and meet with them on a regular basis to discuss the progress of the dissertation; it must be ensured that the doctoral student works independently at all times.

- (2) 1Examiners and evaluators must be full professors, junior professors, or senior academic staff members (Privatdozenten) of the School of Business Informatics and Mathematics of the University of Mannheim. 2With their consent, full professors, junior professors, and senior academic staff members (Privatdozenten) who used to work for the university, have not been released from their duties and are not retired may be appointed as examiners and evaluators of the doctoral students they supervise. 3With their consent, professors emeriti and former senior academic staff members (Privatdozenten) who have been released from their duties or have retired may also be appointed as examiners and evaluators.
- (3) 1Full professors, junior professors, and academic staff members holding the *venia legendi* from official or officially recognized universities or other higher education institutions in Germany or from German or foreign institutions recognized as equivalent by the doctoral committee can be admitted as supervisors, examiners, and evaluators upon request. 2On the grounds of special reasons, post-doctoral graduates may also be admitted as supervisors, examiners, or evaluators upon request. 3This includes, in particular, cases where academic achievements similar to a habilitation were completed and habilitations and professorships are unusual at the institution recognized as equivalent.
- (4) 1According to the statutes for associating teachers of universities of applied sciences (association statutes) of the University of Mannheim, teachers of universities of applied sciences can be granted equal status as professors of the University of Mannheim in doctoral processes for a limited period of time 2In accordance with section 1 sentence 2 of the association statutes, different conditions as laid out in the subject-specific Appendices 2 and 3 of these Regulations and Procedures Governing the Doctoral Dissertation apply to associations. 3Subsection 3 remains unaffected.

Section 3 - Dean's Office and Doctoral Committee

- (1) 1Decisions of the dean's office regarding matters related to these Regulations and Procedures require a unanimous vote. 2If the dean's office is not able to decide unanimously, it refers the matter to the doctoral committee for decision.
- (2) 1The doctoral committee is composed of the members of the dean's office and all staff members of the School of Business Informatics and Mathematics in line with section 2 subsection 1 sentence 1 whose main employer is the University of Mannheim. 2The doctoral committee makes all decisions in the doctoral process, unless these Regulations and Procedures Governing the Doctoral Dissertation explicitly state that another body is in charge. 3The doctoral committee is to make statements on changes to these Regulations and Procedures; their statement is to be included in the supporting documents for the school council's decision. 4The dean for student affairs or a representative designated by them chairs the doctoral committee.

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- (3) ¹Unless these Regulations and Procedures Governing the Doctoral Dissertation provide otherwise, the meetings of the doctoral committee are subject to the rules and regulations on the procedures of decision-making bodies of the University of Mannheim.
- (4) ¹The chair of the committee can summon meetings via e-mail.
- (5) ¹Motions for the agenda and corresponding documents as well as motions to summon a meeting of the doctoral committee may be submitted to the chair of the committee via e-mail.
- (6) ¹The doctoral committee may decide on all issues by way of circulation (in writing or digitally). ²If at least one member of the committee objects to this way of decision-making within three working days after the day of dispatch of the corresponding documents, a regular meeting must be summoned.
- (7) ¹In urgent cases, the chair of the committee can summon the doctoral committee without complying with the requirements regarding due time and form; subsection 6 remains unaffected.

Section 4 - Admission Requirements

- (1) ¹In order to be admitted as a doctoral student, the applicant must, as a rule, have finished their
 1. master's degree,
 2. degree from a program of study with a standard period of study of at least four years at a university, university of education or college of the arts or
 3. degree from a consecutive program at a university, university of education or other higher education institution entitled to confer doctorates
 in the field of the desired doctorate or a related field with a minimum final grade of "good" ("gut"). ²In justified cases, it is possible to admit applicants with a final grade of less than "good" ("gut"); the doctoral committee must decide upon this matter. ³A case is, in particular, justified if relevant work experience can be proven.
- (2) ¹Degrees obtained from foreign official or officially recognized higher education institutions in the field of the dissertation or related fields are to be recognized according to the act on the higher education institutions in the Land of Baden-Württemberg (LHG). ²The doctoral committee decides on the recognition with a three-quarters majority.
- (3) ¹Particularly qualified graduates who have completed a three-year bachelor's program or state examination program or a Diplom program at a university of applied sciences or public university of cooperative education (Berufsakademie) or graduates who have completed a program at the Notarakademie Baden-Württemberg in the field of the doctoral dissertation or a related field may be admitted if they can prove an above average performance during their studies with a final grade of 1.3 or better. ²Before the admission procedure begins, the applicant must submit a research proposal, which is usually 15 pages long and includes, inter alia, their reasons for the dissertation project, to their future supervisor. ³The applicant should use the research proposal to demonstrate their particular academic qualification, which serves

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as an indicator of the quality of the future dissertation. ⁴Within the first three semesters after admission as a doctoral student (which may be granted on conditions), the candidate must prove that they successfully completed two lectures worth 8 ECTS credits each and one seminar at master's level.

Section 5 - Application for Admission as a Doctoral Student

- (1) ¹The application for admission as a doctoral student must be submitted in writing to the dean of the School of Business Informatics and Mathematics.
- (2) ¹As part of the application, the following information and documents are to be provided using the form entitled "Application for Admission to the Doctoral Process" found in Appendix 1 of these Regulations and Procedures:
 - a) the research topic for the doctoral dissertation,
 - b) name(s) of the university teacher(s) who will supervise the dissertation,
 - c) proof that the admission requirements set out in section 4 are met,
 - d) university entrance qualification,
 - e) a CV and a description of the program of study the applicant completed, including detailed information on passed and failed academic and state examinations, in particular unsuccessful requests to obtain a doctoral degree,
 - f) the agreement on the supervision of the dissertation concluded between the doctoral student and the university teachers who will act as supervisors.

Section 6 - Admission or Rejection as a Doctoral Student, Revocation of Admission

- (1) ¹The doctoral committee decides on the admission of the applicant. ²Admission as a doctoral student is rejected if the application is not submitted in the required form or if the applicant does not fulfill the admission requirements. ³It may be rejected or revoked on the grounds of reasons that would, according to statutory provisions, justify the revocation of a doctoral degree. ⁴As a rule, applicants cannot be admitted if they have already undertaken a doctorate in the same field and were unsuccessful. ⁵The doctoral committee decides on exceptions with a three-quarters majority. ⁶An exception may, in particular, be justified if the applicant has significantly edited the content of their original dissertation in the period following the unsuccessful attempt to earn a doctorate. ⁷In the cases set out in section 4 subsection 3, the doctoral committee decides with a three-quarters majority on the suitability of the applicant and on the courses at master's level to be completed to determine such suitability in accordance with section 4 subsection 3 sentence 4; these decisions are based on a detailed statement from the supervisor including reasons in favor of an exception and review of the research proposal.
- (2) ¹If the applicant is successful, they become a doctoral student; in the cases defined in section 4 subsection 3, they are accepted on the condition that they provide proof of completing the

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required courses at master's level in due time, provided that such proof was not submitted with the application. 2The dean must appoint the supervisor(s) shortly after the student's admission.

- (3) 1A doctoral student's admission may be revoked by the doctoral committee two years after their admission at the earliest if the doctoral student does not produce a statement on the sufficient progress of their dissertation including a corresponding confirmation by their supervisor within one month of receiving a written request for such a statement; the deadline for providing the aforementioned proof may be extended by the doctoral committee if there are important reasons for doing so. 2In the cases set out in section 4 subsection 3, a doctoral student's admission is revoked if they do not complete the required courses in due time.
- (4) 1The doctorate must be completed after no more than ten semesters. 2In justified cases and upon request of the doctoral student, the doctoral committee may extend this maximum period. 3These justified cases include, in particular, cases where teaching or project work caused a particularly high workload affecting the student's academic work. 4The admission as a doctoral student expires if the doctorate is not completed within the maximum period.

Section 7 - Admission to the Doctoral Process

- (1) 1The doctoral student must submit a written request to obtain a doctoral degree to the dean of the School of Business Informatics and Mathematics. 2Anyone who is on the list of doctoral students is eligible to request their admission to the doctoral process.
- (2) 1The request must include:
- a) the doctoral dissertation, usually written in German or English, in triplicate. The copies submitted become property of the university.
 - b) proof of the coursework completed as part of the doctorate as listed in the form "Application for Admission to the Doctoral Process" (Appendix 1).
 - c) a manually signed declaration in lieu of an oath with the following wording:
"Declaration in lieu of oath according to section 7 subsection 2 letter c of the Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences at the University of Mannheim:
 - i. I hereby confirm that the submitted doctoral dissertation on the topic of is my own work.
 - ii. I did not seek unauthorized assistance from a third party and I have employed no other sources or aids except the ones listed. I clearly marked any direct quotations derived from the works of others.
 - iii. I have not yet presented this doctoral dissertation or parts of it as part of an examination or qualification at a higher education institution in Germany or abroad/I have presented the dissertation or parts of it as detailed below.

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Title:

Degree:

- iv. I hereby confirm the accuracy of the declaration above.
 - v. I am aware of the significance of this declaration in lieu of an oath and the legal ramifications in case of untrue or incomplete statements.
I declare in lieu of oath that the statements above are true and complete to the best of my knowledge".
- d) a manually signed declaration of consent stating that the dissertation may be stored, sent or processed electronically in order to be checked for plagiarism.
 - e) in the cases set out in section 4 subsection 3, proof that the doctoral student has completed the courses at master's level that serve to determine their suitability for a doctorate.
- (3) 1The request to obtain a doctoral degree may be withdrawn, provided that the doctoral process has not been terminated due to rejection of the doctoral dissertation.
- (4) 1The dean's office decides on the admission to the doctoral process. 2Admission is rejected if the request does not fulfill the formal requirements according to subsections 1 and 2 or if the doctoral student does not fulfill the admission requirements. 3It may be rejected on the grounds of reasons that would, according to statutory provisions, justify the revocation of a doctoral degree.

Section 8 - Examination Committee; Evaluators

- (1) 1The dean's office appoints the examination committee and its chair. 2The examination committee is composed of at least four examiners within the meaning of section 2 subsections 2 and 3; at least three of them must belong to the group of people defined in section 2 subsection 2.
- (2) 1The dean's office appoints at least two evaluators within the meaning of section 2 subsections 2 and 3; at least one of them must belong to the group of people defined in section 2 subsection 2. 2As a rule, supervisors are to be appointed as evaluators. 3Evaluators may be members of the examination committee but may not be appointed as chair.

Section 9 - Evaluation and Acceptance of the Dissertation

- (1) 1The evaluators are each to independently submit a written evaluation within two months.
2The evaluations need to comprise:
- a) a critical appraisal of the work;
 - b) a well-founded recommendation for the acceptance or the rejection of the dissertation;

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- c) in case acceptance is recommended, one of the following as a proposed grade:
- excellent ("ausgezeichnet") = 0
 - very good ("sehr gut") = 1
 - good ("gut") = 2
 - acceptable ("genügend") = 3
- The grade "very good" = 1 may be downgraded by 0.3 by adding a minus (i.e. 1-). The grades "good" = 2 and "acceptable" = 3 may be upgraded or downgraded by 0.3 by adding a plus or a minus, respectively (e.g. 2+ or 3-).
- d) If the evaluator recommends rejecting the dissertation, the proposed grade is: not acceptable ("nicht genügend") = 4.
- (2) 1If the grades proposed by the two evaluators differ by more than one whole grade, the dean's office appoints a third evaluator and informs the other evaluators of this. 2Sentence 1 applies accordingly if both evaluators suggest the grade "excellent"; in such instances, at least one external evaluator must be appointed.
- (3) 1If all evaluators support the acceptance of the dissertation in their evaluations, the dean gives all members of the doctoral committee the opportunity to read the dissertation and make a statement within an appropriate period of time. 2As a rule, this period is three weeks. 3Statements must be submitted to the dean.
- (4) 1The dissertation is considered accepted if all evaluators support its acceptance and none of the members of the doctoral committee object to the recommendation in writing or via e-mail during the designated period pursuant to subsection 3. 2If this is not the case, the doctoral committee decides; when doing so, the committee may obtain further evaluations to which the provisions of section 8 subsection 2 and section 9 subsection 1 apply accordingly; the committee rejects the dissertation if the arithmetic average, including one decimal place, of the grade proposals from all evaluations equals 3.6 or worse. 3The dissertation is considered rejected if all evaluators support its rejection.
- (5) 1If the committee decides to make the acceptance of the dissertation subject to corrections, the revised version of the dissertation must be submitted to the doctoral committee within a period set by the committee; section 7 applies accordingly.

Section 10 - Grade of the Doctoral Dissertation

- (1) 1The grade of the dissertation is determined by the examination committee on the basis of the evaluations obtained and the decisions of the doctoral committee.
- (2) 1If two evaluations have been obtained, the arithmetic average of the proposals is the final grade. 2If more than two evaluations have been obtained, the arithmetic average of all proposals is the final grade; subsection 3 remains unaffected. 3In all cases set out in sentences 1 and 2, only one decimal place will be taken into account.
- (3) 1The grade "excellent" can only be given if all three evaluators propose this grade.

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- (4) 1In the cases set out in section 9 subsection 4 sentence 2 or 3, the examination committee grades the dissertation “not acceptable.” 2In this case, the doctoral student has failed; no oral defense will be held. 3The doctoral student must be informed of this in writing. 4They will no longer hold the status of a doctoral student. 5It is not possible to retake the examination.

Section 11 - Oral Defense and Final Grade

- (1) 1The oral defense includes a scientific presentation by the doctoral student, followed by a scientific discussion.
- (2) 1In the scientific presentation, the doctoral student presents the findings of their dissertation. 2As a rule, the scientific presentation is open to the public and should take approximately 30 minutes. 3Upon request from the doctoral student to the dean of the School of Business Informatics and Mathematics, the scientific presentation may be given exclusively in front of the members of the doctoral committee and the examination committee.
- (3) 1The scientific discussion, which follows the presentation, covers the topic of the dissertation and related topics. 2The duration of the scientific discussion should be approximately 30 minutes. 3All members of the examination committee and the doctoral committee are entitled to participate and ask questions.
- (4) 1The oral defense is accepted if the majority of the examination committee approves. 2In the event of a tie vote, the chair has the casting vote. 3If the oral defense is accepted, the examination committee decides on a grade for it according to the grading scale in section 9 subsection 1 sentence 2 letter c. 4The grade for the oral defense will be the arithmetic average of the grade proposals from all members of the examination committee. 5Only one decimal place will be taken into account. 6If the examination committee does not accept the oral defense, it grades the defense “not acceptable.”
- (5) 1The oral defense and the grading process must be recorded in the minutes that must be signed by the members of the examination committee.
- (6) 1If the examination committee does not accept the oral defense, the doctoral student may present themselves once again at least three months and no later than 12 months after the first defense. 2If the oral defense is once again not accepted or the doctoral student misses the deadline, the doctoral student has failed. 3The doctoral student must be informed of this in writing. 4They will no longer hold the status of a doctoral student. 5It is not possible to retake the examination.
- (7) 1After the oral defense, the chair of the examination committee decides on a final grade for the doctorate. 2The doctorate is passed if both the doctoral dissertation and the oral defense are graded “acceptable” or better. 3The final grade of the doctorate is “excellent” (summa cum laude) if all evaluations propose this grade for the dissertation and the oral defense has been evaluated with a grade higher than 1.2. 4In all other cases, the final grade of the doctorate is based on a raw grade, which is the arithmetic average of the triple-weighted average grade of the doctoral dissertation and the regularly weighted grade of the oral defense; for the grade

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of the dissertation, the average grade of all evaluations obtained is to be used. ⁵The final grade is calculated by rounding the raw grade down to one decimal place. ⁶The grade is

in case of an average up to 1.5: very good (magna cum laude).

in case of an average from 1.6 to 2.5: good (cum laude).

in case of an average from 2.6 to 3.5: acceptable (rite).

⁷The examination committee decides with a simple majority. ⁸Members may not abstain from voting.

(8) ¹The examination committee informs the doctoral student of the evaluation of the oral defense and the final grade as soon as the grading process is completed.

(9) ¹The oral defense and the final grade must be recorded in the minutes that must be signed by all members of the examination committee.

Section 12 - Printing of the Doctoral Dissertation

(1) ¹The version of the dissertation that has been approved by the dean's office must be published. ²Copyright regulations must be observed.

(2) ¹Altogether, 55 printed copies of the dissertation must be given to the university free of charge (mandatory copies). ²The number of mandatory copies is reduced to five if the dissertation is published

a. by a publishing house with at least 80 copies and proof thereof is provided or

b. in its entirety in a recognized academic journal or

c. in electronic form on a storage device and in a file format approved by the university library and stored on a University of Mannheim server.

³In justified cases, the dean's office may allow the printing of a short version in agreement with the examination committee.

(3) ¹The mandatory copies must be delivered within one year after the doctoral student has been informed of their final grade. ²A doctoral student who fails to deliver the copies in time forfeits all rights acquired with the examination. ³The dean may extend the deadline in justified cases upon request.

(4) ¹The cover page of the dissertation must read "Inauguraldissertation zur Erlangung des akademischen Grades eines Doktors der Naturwissenschaften der Universität Mannheim." ²The back of the cover page must indicate the name of the dean as well as the names of the evaluators and the date of the scientific presentation; if an evaluator proposed the rejection of the dissertation, they may request to have their name omitted. ³If the dissertation is published by a publishing house, it must be indicated that the publication is based on a dissertation from the University of Mannheim.

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Section 13 - Granting of the Doctoral Degree

- (1) 1Once the mandatory copies have been delivered, the doctoral degree is granted with the handover of the doctoral degree certificate. 2With the official granting of the degree, the doctoral student is authorized to use the title “doctor.”
- (2) 1The doctoral degree certificate is signed by the President of the University of Mannheim and the dean. 2It includes the title of the doctoral dissertation and the final grade according to section 11 subsection 7 as well as the date of the oral defense.

Section 14 - Annulment and Revocation of the Doctoral Degree

- (1) 1The doctoral committee may annul the doctoral examinations if it becomes evident before the degree has been granted that the doctoral student cheated during admission to the doctoral process or during one of the examinations.
- (2) 1In accordance with the laws of Baden-Württemberg, the doctoral committee is responsible for the revocation of the doctoral degree.

Section 15 - Conflicts in the Course of the Doctoral Process

1In case of conflicts between the doctoral student and their supervisor during the doctoral process, the parties may consult the ombudsperson responsible. 2All details, in particular in regard to the appointment of ombudspersons and the process, are governed by the statutes of the University of Mannheim on the appointment of ombudspersons for doctoral students and their supervisors as amended from time to time.

Section 16 - Renewal of the Doctoral Degree Certificate, Honorary Doctoral Degree

- (1) 1Doctoral degree certificates may be renewed if this is deemed appropriate to honor graduates for their outstanding scientific work or their close ties with the university.
- (2) 1The School of Business Informatics and Mathematics of the University of Mannheim awards honorary doctoral degrees in natural sciences (doctor naturalium honoris causa - Dr. rer. nat. h.c.). 2The honorary doctoral degree may be awarded for outstanding achievements in research fields of the School of Business Informatics and Mathematics. 3Suggestions must include in-depth reasoning and be submitted to the dean. 4People holding a statutory office at the University of Mannheim or serving as a member of one of the statutory bodies of the University of Mannheim may submit suggestions for an honorary doctoral degree. 5The honorary doctoral degree is awarded on the basis of unanimous decisions of the School Council of the School of Business Informatics and Mathematics and the Senate of the University of Mannheim. 6The dean awards the honorary doctoral degree. 7The honorary doctoral degree can be revoked on the grounds of reasons which, if known, would have prevented its conferment in the first place or on the grounds of reasons which have arisen after the conferment. 8Sentence 5 applies accordingly to the revocation of the honorary doctoral degree.

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Section 16a - Special Conditions for Obtaining a Doctorate in Cooperation with a Foreign Higher Education Institution

(1) ¹A doctorate may be undertaken under joint supervision with a foreign higher education institution (host institution). ²In this case, a framework agreement must be concluded with the foreign higher education institution. ³The school's doctoral committee must approve this agreement. ⁴The agreement must include detailed regulations regarding the intended joint doctoral process. ⁵It must align with existing regulations and procedures governing the doctoral dissertation as much as possible. ⁶In addition, for each doctoral student an individual agreement setting out the specific details must be concluded with the foreign higher education institution.

(2) The general provisions of these Regulations and Procedures Governing the Doctoral Dissertation remain unaffected, provided that no deviating regulations were agreed upon in the framework agreement.

Section 17 - Temporary and Final Provisions

(1) ¹These Regulations and Procedures Governing the Doctoral Dissertation become effective the day after their announcement in the Bulletin of the President's Office (Bekanntmachungen des Rektorats) of the University of Mannheim.

(2) ¹At the same time, the Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences at the University of Mannheim as at 30 July 2001 (Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 20/2001 pp. 16 et seqq.), last amended on 16 June 2016 (Bulletin of the President's Office (Bekanntmachungen des Rektorats) No. 16/2016, part 1, pp. 29 et seqq.), cease to be effective.

(3) ¹The previously applicable version of the Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences at the University of Mannheim as at 30 July 2001 continues to apply to doctoral students who were admitted to the list of doctoral students before these new Regulations and Procedures Governing the Doctoral Dissertation became effective; this also applies to doctoral students who have already requested determination of their suitability for a doctorate in line with section 3 subsection 3 of the previously applicable version of the Regulations and Procedures Governing the Doctoral Dissertation to Earn a Doctoral Degree in Natural Sciences at the University of Mannheim as at 30 July 2001; however, the following additional provisions apply:

1. The doctoral committee is composed in line with the provisions of these new Regulations and Procedures Governing the Doctoral Dissertation. Therefore, the provisions of section 3 subsection 2 sentence 1 in conjunction with section 2 subsection 1 sentence 1 of these new Regulations and Procedures Governing the Doctoral Dissertation replace section 2 sentence 1 of the regulations and procedures as at 30 July 2001, which ceased to be effective, in this respect.

PLEASE NOTE:

English translations of *Promotionsordnungen* are intended solely as a convenience to non-German-reading students. Only the German text published in the Bulletin of the President's Office ("Bekanntmachungen des Rektorats") is legally binding. In the event of any conflict between the English and German text, its structure, meaning or interpretation, the German text, its structure, meaning or interpretation prevails.

2. The definition of persons eligible to be appointed as members of the examination committee according to section 9 subsection 1 of the regulations and procedures as at 30 July 2001, which ceased to be effective, is replaced by the regulations on the appointment of examiners as members of the examination committee according to these new Regulations and Procedures Governing the Doctoral Dissertation; in this respect, the provisions of section 8 subsection 1 sentence 2 in conjunction with section 2 subsections 2 and 3 of these Regulations and Procedures Governing the Doctoral Dissertation replace section 9 subsection 1 sentence 2 of the regulations and procedures as at 30 July 2001, which ceased to be effective.
 3. In addition, section 15 of these Regulations and Procedures Governing the Doctoral Dissertation applies.
- (4) ¹Upon written request, doctoral students who are subject to subsection 3 may continue their doctoral studies according to these new Regulations and Procedures Governing the Doctoral Dissertation. ²The request must be made to the dean. ³If the request is approved, the transitional provision of subsection 3 no longer applies to these doctoral students.

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Appendix 1: Application for Admission to the Doctoral Process

Details on the doctorate

The current version of the regulations and procedures governing the doctoral dissertation of the abovementioned school and, if applicable, the study regulations of the doctoral program apply.

Doctoral student: _____

E-mail address (optional): _____

Working title of the dissertation project: _____

Chair: _____

Main supervisor: _____

If applicable, second supervisor: _____

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Appendix 2: Differing Conditions for Associations in the Field of Informatics

1. In the field of informatics, by way of derogation from section 2 sentence 3 of the association statutes, proof of high-quality research activities is deemed to have been provided if a researcher has reached at least four publication points according to the following scheme in the last five years:

- Full paper publication at an A* conference or in an A* journal: 2 publication points
- Full paper publication at an A conference or in an A journal: 1 publication point

The classification as A* conferences and A conferences is made in accordance with the then current CORE Conference Rating. The classification as A* journals is made in accordance with the then current CORE Journal Ranking. All Q1 journals in the then current SJR Journal Ranking specializing in an area of informatics are classified as A journals.

2. In addition to the documents listed in section 3 subsection 1 sentence 3 of the association statutes, the following document(s) of proof must be provided: proof of adequate funding for the doctoral student for at least three years for each dissertation project planned.

Appendix 3: Differing Conditions for Associations in the Field of Mathematics

In addition to the documents listed in section 3 subsection 1 sentence 3 of the association statutes, the following document(s) of proof must be provided:

- a) proof of there being a scientific contact person among the professors at the Institute of Mathematics,
- b) proof of adequate funding for the doctoral student for at least three years for each dissertation project planned.

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