

Database Technology SQL Part 1

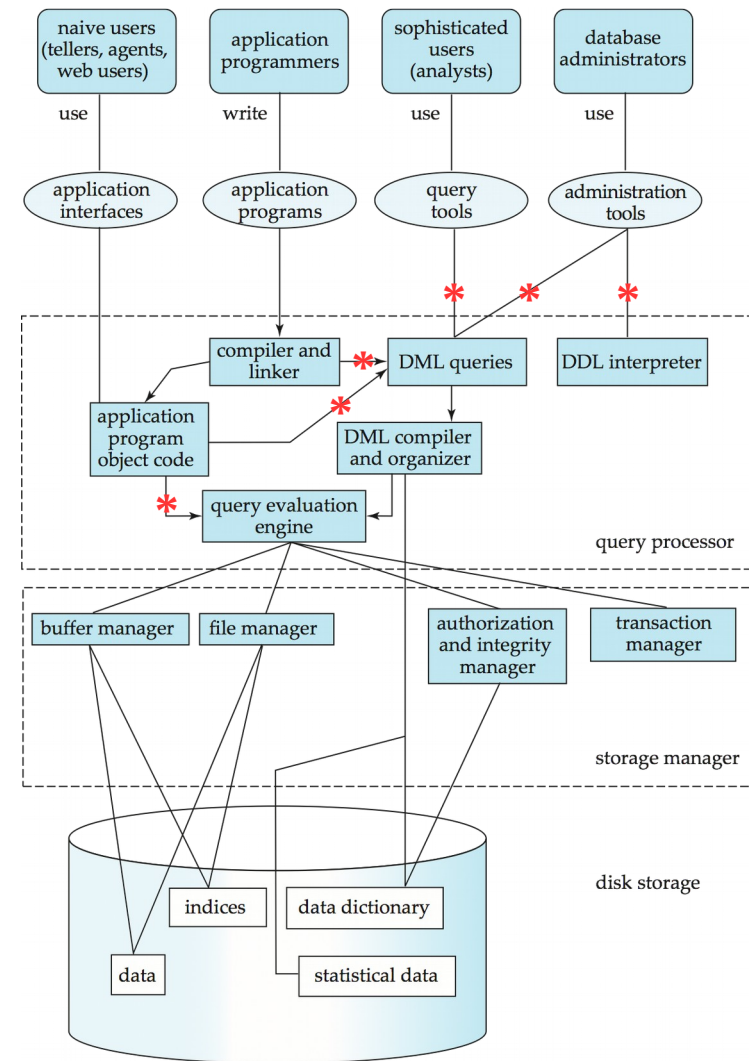


Outline

- Today
 - Overview of The SQL Query Language
 - Basic Query Structure
 - Set Operations
 - Join Operators
 - Null Values
 - Aggregate Functions
 - Nested Subqueries
- Next week
 - Data Definition
 - Data Types in SQL
 - Modifications of the database
 - Views
 - Integrity Constraints
 - Roles & Rights

Recap: Database Systems

- Users and applications interact with databases
 - By issuing *queries*
 - Data definition (DDL): defining, altering, deleting tables
 - Data manipulation (DML): reading from & writing to tables
- SQL is both a DDL and a DML
 - The language that most DBMS speak



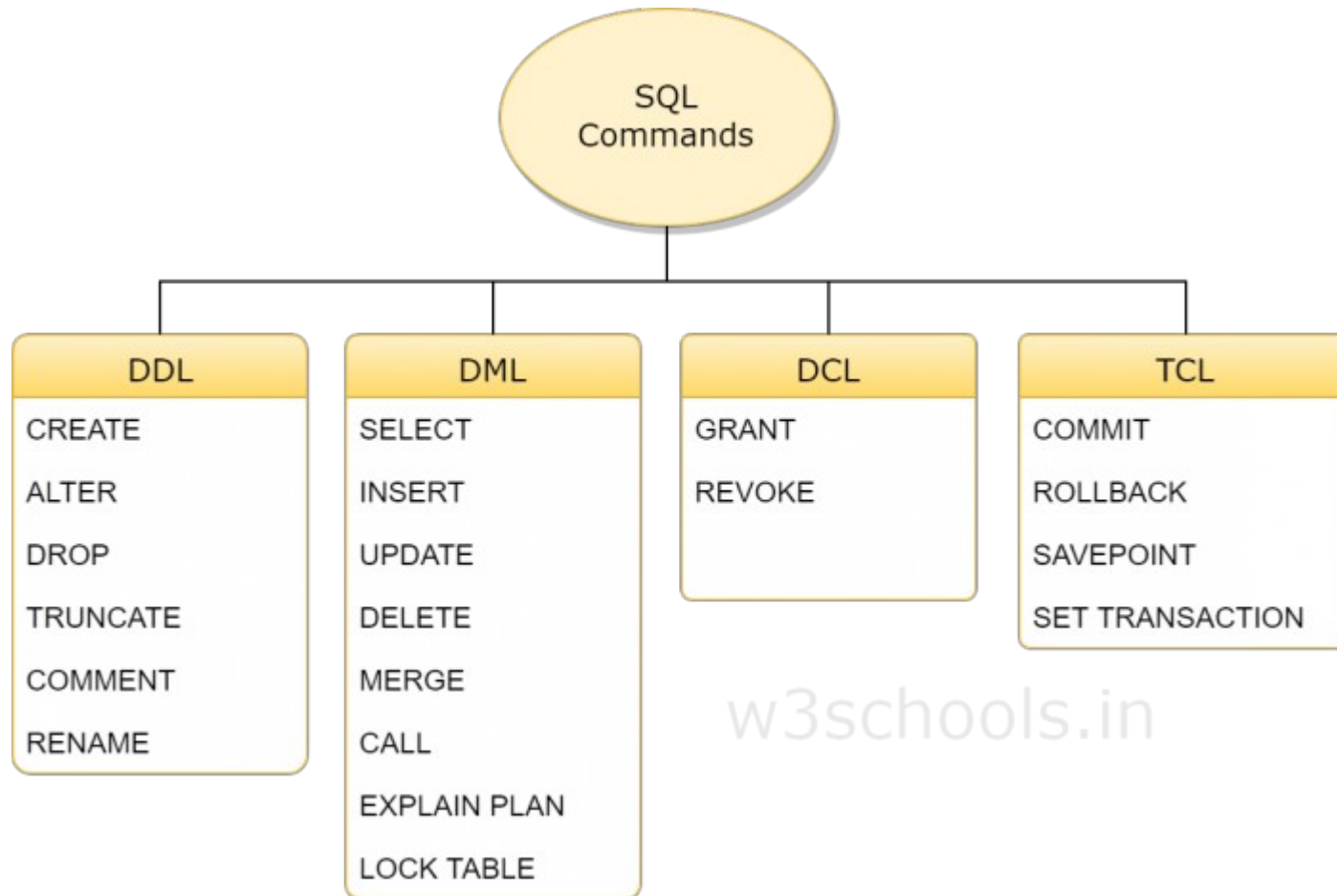
History

- IBM SEQUEL language developed as part of System R project at the IBM San Jose Research Laboratory
 - *Structured English QUery Language*
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
 - SQL-86
 - SQL-89
 - SQL-92
 - SQL:1999
 - SQL:2003
- Commercial + free systems offer most, if not all, SQL-92 features
 - plus varying feature sets from later standards and special proprietary features
 - Not all examples here may work on your particular system!

Naming became
Y2K compliant! ;-)



Parts of SQL: The Big Picture



Source: <https://www.w3schools.in/mysql/ddl-dml-dcl/>

Reading Data

- The **select** clause lists the attributes desired in the result of a query
- Example: find the names of all instructors:

```
select name  
from instructor
```

- In relational algebra:
 - $\Pi_{\text{name}}(\textit{instructor})$

A Note on Case Sensitivity

- SQL is completely case insensitive
 - **select** = **SELECT** = **SeLeCt**
- Also for names of relations and attributes
 - instructor = Instructor = INSTRUCTOR
 - name = NAME = nAmE
- Each relation / attribute can only exist once
 - Hence, two relations named *instructor* and *Instructor* would not be feasible
- Case sensitivity does *not* apply to values!
 - i.e., “Einstein” and “einstein” are different values!

Renaming Columns in a Select

- Columns can be renamed during selection
- **select** *name, salary* **as** *payment* **from** *instructor*
- In relational algebra
 - a composition of projection and renaming:

$$\rho_{\text{payment} \leftarrow \text{salary}} (\Pi_{\text{name, salary}} (\text{instructor}))$$

The Select Clause

- An asterisk in the select clause denotes “all attributes”

select * **from** *instructor*

- An attribute can be a literal with no **from** clause, possibly renamed

select '437'

FOO

select '437' **as** *FOO*

437

- An attribute can be a literal with **from** clause

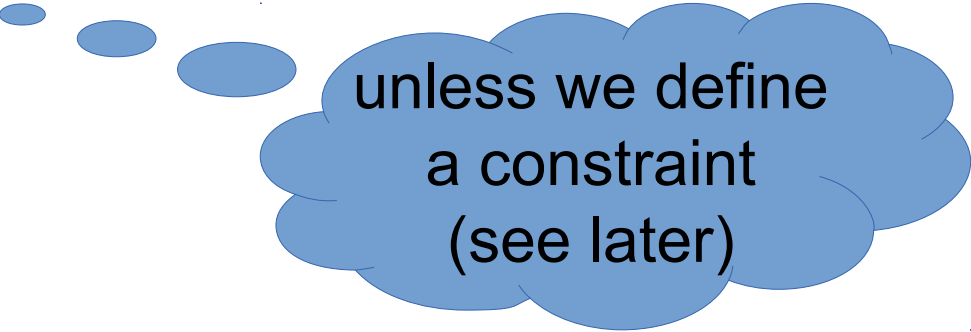
select *name*, 'Instructor' **as** *role* **from** *instructor*
union

select *name*, 'Student' **as** *role* **from** *student*

name	role
Smith	Instructor
Einstein	Instructor
...	...
Johnson	Student
...	...

Duplicates

- Difference to relational algebra
 - Sets do not contain duplicates!
- SQL allows duplicates in relations as well as in query results



unless we define
a constraint
(see later)

- To force the elimination of duplicates, insert the keyword **distinct** after select.
- Find the department names of all instructors, and remove duplicates

```
select distinct dept_name  
from instructor
```

Arithmetics in the Selection

- The **select** clause can contain arithmetic expressions involving the operation, $+$, $-$, $*$, and $/$, and operating on constants or attributes of tuples
 - Here, we leave relational algebra!
- The query
select *ID, name, salary/12* **from** *instructor*
would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12
- Combined with renaming:
 - **select** *ID, name, salary/12* **as** *monthly_salary*



Reading Parts of a Relation

- So far, we have always read an entire relation
 - Usually, we are interested only in a small portion
 - The **where** clause restricts which parts of the table to read
 - To find all instructors in Comp. Sci. dept
- ```
select name
from instructor
where dept_name = 'Comp. Sci.'
```
- In relational algebra: combination of selection and projection

$$\pi_{\text{name}}(\sigma_{\text{dept\_name} = \text{'Comp. Sci.'}}(r))$$

# Reading Parts of a Relation

- Comparison results can be combined using the logical connectives **and**, **or**, and **not**

```
select name
from instructor
where dept_name = 'Comp. Sci.' and salary > 90000
```

$$\pi_{\text{name}}(\sigma_{\text{dept\_name} = \text{'Comp. Sci.'} \wedge \text{salary} > 90000}(r))$$

- Can be combined with results of arithmetic expressions

```
select name, salary/12 as monthly_salary
from instructor
where dept_name = 'Comp. Sci.' and monthly_salary > 7500
```

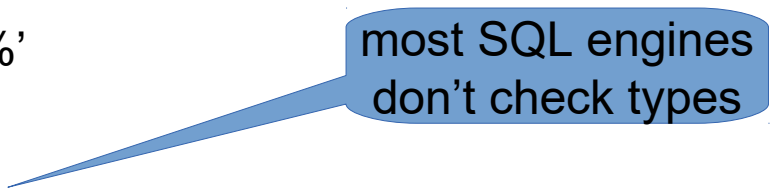
# Searching in Texts

- So far, we have handled exact equality in selections
- Sometimes, we want to search differently
  - All books that contain “database”
  - All authors starting with “S”
  - ...
- In SQL: comparing with **like** and two special characters:
  - `_` = any arbitrary character
  - `%` = any number of arbitrary characters
  - masking with backslash

**select ... where *title* like ‘%database%’**

**select ... where *author* like ‘S%’**

**select ... where *amount* like ‘100\%’**



most SQL engines  
don't check types

# Reading Data from Multiple Tables

- Example: find all instructors and the courses they teach
- **select \* from** *instructor*, *teaches*
  - this generates the *cartesian product*, i.e., instructor x teaches
  - result: generates every possible instructor – teaches pair, with all attributes from both relations
- Common attributes (e.g., *ID*), the attributes in the resulting table are renamed using the relation name
  - e.g., *instructor.ID*, *teaches.ID*
- Relational algebra notation:
  - $\rho_{instructor.ID \leftarrow ID}(instructor) \times \rho_{teaches.ID \leftarrow ID}(teaches)$



but is that useful?

# Cartesian Product

| instructor |            |            |        | teaches |           |        |          |         |     |        |      |
|------------|------------|------------|--------|---------|-----------|--------|----------|---------|-----|--------|------|
| ID         | name       | dept_name  | salary | ID      | course_id | sec_id | semester | year    |     |        |      |
| 10101      | Srinivasan | Comp. Sci. | 65000  | 10101   | CS-101    | 1      | Fall     | 2009    |     |        |      |
| 12121      | Wu         | Finance    | 90000  | 10101   | CS-315    | 1      | Spring   | 2010    |     |        |      |
| 15151      | Srinivasan | Comp. Sci. | 65000  | 10101   | CS-347    | 1      | Fall     | 2009    |     |        |      |
| 22222      |            |            |        | 12121   | FIN-201   | 1      | Spring   | 2010    |     |        |      |
| 32343      |            |            |        | 15151   | MU-199    | 1      | Spring   | 2010    |     |        |      |
| 33456      |            |            |        | 22222   | PHY-101   | 1      | Fall     | 2009    |     |        |      |
| ...        |            |            |        | ...     | ...       | ...    | ...      | ...     | ... |        |      |
| ...        |            |            |        | ...     | ...       | ...    | ...      | ...     | ... |        |      |
| 12121      |            |            |        | Wu      | Finance   | 90000  | 10101    | CS-101  | 1   | Fall   | 2009 |
| 12121      |            |            |        | Wu      | Finance   | 90000  | 10101    | CS-315  | 1   | Spring | 2010 |
| 12121      |            |            |        | Wu      | Pinance   | 90000  | 10101    | CS-347  | 1   | Fall   | 2009 |
| 12121      |            |            |        | Wu      | Pinance   | 90000  | 12121    | FIN-201 | 1   | Spring | 2010 |
| 12121      |            |            |        | Wu      | Finance   | 90000  | 15151    | MU-199  | 1   | Spring | 2010 |
| 12121      |            |            |        | Wu      | Pinance   | 90000  | 22222    | PHY-101 | 1   | Fall   | 2009 |
| ...        | ...        | ...        | ...    | ...     | ...       | ...    | ...      | ...     |     |        |      |
| ...        | ...        | ...        | ...    | ...     | ...       | ...    | ...      | ...     |     |        |      |



# Cartesian Products with Selection

- Find the names of all instructors who have taught some course and the course\_id

```
select name, course_id
from instructor , teaches
where instructor.ID = teaches.ID
```

- Relational algebra:

$$\pi_{name, course\_id}(\sigma_{instructor.ID=teaches.ID}(\rho_{instructor.ID \leftarrow ID}((instructor) \times \rho_{teaches.ID \leftarrow ID}(teaches))))$$

# Cartesian Product

| <i>instructor</i> |                       |                       |                  | <i>teaches</i>    |                    |               |                   |                 |
|-------------------|-----------------------|-----------------------|------------------|-------------------|--------------------|---------------|-------------------|-----------------|
| <i>ID</i>         | <i>name</i>           | <i>dept_name</i>      | <i>salary</i>    | <i>ID</i>         | <i>course_id</i>   | <i>sec_id</i> | <i>semester</i>   | <i>year</i>     |
| 10101             | Srinivasan            | Comp. Sci.            | 65000            | 10101             | CS-101             | 1             | Fall              | 2009            |
| 12121             | Wu                    | Finance               | 90000            | 10101             | CS-315             | 1             | Spring            | 2010            |
| 15151             |                       |                       |                  |                   |                    |               |                   | 2009            |
| 22222             |                       |                       |                  |                   |                    |               |                   | 2010            |
| 32343             |                       |                       |                  |                   |                    |               |                   | 2010            |
| 33456             |                       |                       |                  |                   |                    |               |                   | 2009            |
| <i>Inst.ID</i>    | <i>name</i>           | <i>dept_name</i>      | <i>salary</i>    | <i>teaches.ID</i> | <i>course_id</i>   | <i>sec_id</i> | <i>semester</i>   | <i>year</i>     |
| 10101             | Srinivasan            | Comp. Sci.            | 65000            | 10101             | CS-101             | 1             | Fall              | 2009            |
| 10101             | Srinivasan            | Comp. Sci.            | 65000            | 10101             | CS-315             | 1             | Spring            | 2010            |
| 10101             | Srinivasan            | Comp. Sci.            | 65000            | 10101             | CS-347             | 1             | Fall              | 2009            |
| <del>10101</del>  | <del>Srinivasan</del> | <del>Comp. Sci.</del> | <del>65000</del> | <del>12121</del>  | <del>FIN-201</del> | <del>1</del>  | <del>Spring</del> | <del>2010</del> |
| <del>10101</del>  | <del>Srinivasan</del> | <del>Comp. Sci.</del> | <del>65000</del> | <del>15151</del>  | <del>MU-199</del>  | <del>1</del>  | <del>Spring</del> | <del>2010</del> |
| <del>10101</del>  | <del>Srinivasan</del> | <del>Comp. Sci.</del> | <del>65000</del> | <del>22222</del>  | <del>PHY 101</del> | <del>1</del>  | <del>Fall</del>   | <del>2009</del> |
| ...               | ...                   | ...                   | ...              | ...               | ...                | ...           | ...               | ...             |
| ...               | ...                   | ...                   | ...              | ...               | ...                | ...           | ...               | ...             |
| <del>12121</del>  | <del>Wu</del>         | <del>Finance</del>    | <del>90000</del> | <del>10101</del>  | <del>CS-101</del>  | <del>1</del>  | <del>Fall</del>   | <del>2009</del> |
| <del>12121</del>  | <del>Wu</del>         | <del>Finance</del>    | <del>90000</del> | <del>10101</del>  | <del>CS-315</del>  | <del>1</del>  | <del>Spring</del> | <del>2010</del> |
| <del>12121</del>  | <del>Wu</del>         | <del>Finance</del>    | <del>90000</del> | <del>10101</del>  | <del>CS-347</del>  | <del>1</del>  | <del>Fall</del>   | <del>2009</del> |
| 12121             | Wu                    | Finance               | 90000            | 12121             | FIN-201            | 1             | Spring            | 2010            |
| <del>12121</del>  | <del>Wu</del>         | <del>Finance</del>    | <del>90000</del> | <del>15151</del>  | <del>MU 199</del>  | <del>1</del>  | <del>Spring</del> | <del>2010</del> |
| <del>12121</del>  | <del>Wu</del>         | <del>Finance</del>    | <del>90000</del> | <del>22222</del>  | <del>PHY 101</del> | <del>1</del>  | <del>Fall</del>   | <del>2009</del> |
| ...               | ...                   | ...                   | ...              | ...               | ...                | ...           | ...               | ...             |
| ...               | ...                   | ...                   | ...              | ...               | ...                | ...           | ...               | ...             |

# Cartesian Products with Selection

- Find the names of all instructors in the Finance department who have taught some course, together with the course\_id

```
select name, course_id
from instructor , teaches
where instructor.ID = teaches.ID and instructor. dept_name = 'Finance'
```

$$\pi_{name, course\_id}(\sigma_{instructor.ID=teaches.ID \wedge dept\_name='Finance'}(\rho_{instructor.ID \leftarrow ID}(instructor) \times \rho_{teaches.ID \leftarrow ID}(teaches))))$$

# Cartesian Product

*instructor*

| ID    | name       | dept_name  | salary |
|-------|------------|------------|--------|
| 10101 | Srinivasan | Comp. Sci. | 65000  |
| 12121 | Wu         | Finance    | 90000  |
| 15151 |            |            |        |
| 22222 |            |            |        |
| 32343 |            |            |        |
| 33456 |            |            |        |

*teaches*

| ID    | course_id | sec_id | semester | year |
|-------|-----------|--------|----------|------|
| 10101 | CS-101    | 1      | Fall     | 2009 |
| 10101 | CS-315    | 1      | Spring   | 2010 |
|       |           |        |          | 2009 |
|       |           |        |          | 2010 |
|       |           |        |          | 2010 |
|       |           |        |          | 2009 |

| Inst.ID | name       | dept_name  | salary | teaches.ID | course_id | sec_id | semester | year |
|---------|------------|------------|--------|------------|-----------|--------|----------|------|
| 10101   | Srinivasan | Comp. Sci. | 65000  | 10101      | CS 101    | 1      | Fall     | 2009 |
| 10101   | Srinivasan | Comp. Sci. | 65000  | 10101      | CS 315    | 1      | Spring   | 2010 |
| 10101   | Srinivasan | Comp. Sci. | 65000  | 10101      | CS 347    | 1      | Fall     | 2009 |
| 10101   | Srinivasan | Comp. Sci. | 65000  | 12121      | FIN-201   | 1      | Spring   | 2010 |
| 10101   | Srinivasan | Comp. Sci. | 65000  | 15151      | MU-199    | 1      | Spring   | 2010 |
| 10101   | Srinivasan | Comp. Sci. | 65000  | 22222      | PHY 101   | 1      | Fall     | 2009 |
| ...     | ...        | ...        | ...    | ...        | ...       | ...    | ...      | ...  |
| ...     | ...        | ...        | ...    | ...        | ...       | ...    | ...      | ...  |
| 12121   | Wu         | Finance    | 90000  | 10101      | CS-101    | 1      | Fall     | 2009 |
| 12121   | Wu         | Finance    | 90000  | 10101      | CS-315    | 1      | Spring   | 2010 |
| 12121   | Wu         | Finance    | 90000  | 10101      | CS-347    | 1      | Fall     | 2009 |
| 12121   | Wu         | Finance    | 90000  | 12121      | FIN-201   | 1      | Spring   | 2010 |
| 12121   | Wu         | Finance    | 90000  | 15151      | MU 199    | 1      | Spring   | 2010 |
| 12121   | Wu         | Finance    | 90000  | 22222      | PHY 101   | 1      | Fall     | 2009 |
| ...     | ...        | ...        | ...    | ...        | ...       | ...    | ...      | ...  |
| ...     | ...        | ...        | ...    | ...        | ...       | ...    | ...      | ...  |

# Cartesian Product of a Table with Itself

- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - We need the same table twice
  - So, we have to use it under different names

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept_name = 'Comp. Sci.'
```

$$\pi_{T.name}(\sigma_{T.salary > S.salary \wedge S.dept\_name = 'Comp. Sci.'}(\rho_T(instructor) \times \rho_S(instructor)))$$

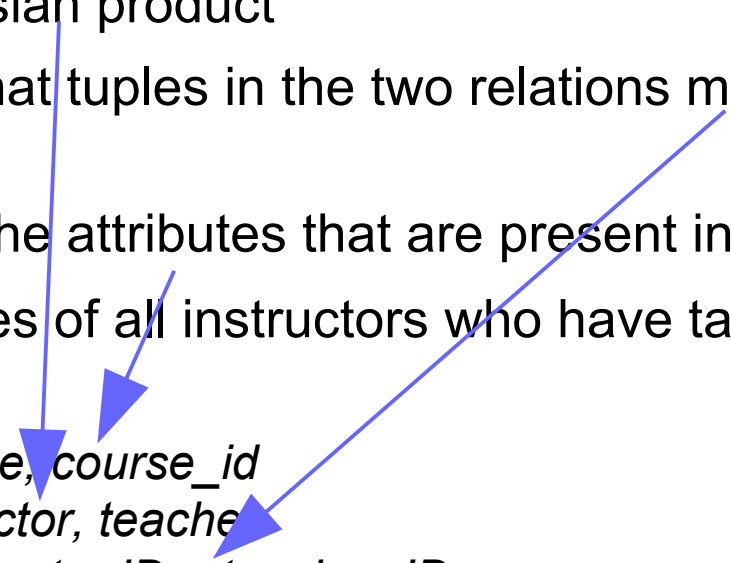
- What happens if we omit the **distinct** here?

# Join Operations

- **Join operations**
  - take two relations
  - return as new relation as their result
- A join operation
  - is a Cartesian product
  - requires that tuples in the two relations match (under some condition)
  - specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the **from** clause

# Join Operations

- Recap: We have already seen a form of joins:
- A join operation
  - is a Cartesian product
  - requires that tuples in the two relations match (under some condition)
  - specifies the attributes that are present in the result of the join
- Find the names of all instructors who have taught some course and the course\_id



```
select name, course_id
from instructor, teaches
where instructor.ID = teaches.ID
```

# Outer Joins

- Consider the two relations below
- Desired:
  - List all courses with their prerequisites
  - Note: course CS-315 has no prerequisites

| <i>course_id</i> | <i>title</i> | <i>dept_name</i> | <i>credits</i> |
|------------------|--------------|------------------|----------------|
| BIO-301          | Genetics     | Biology          | 4              |
| CS-190           | Game Design  | Comp. Sci.       | 4              |
| CS-315           | Robotics     | Comp. Sci.       | 3              |

| <i>course_id</i> | <i>prereq_id</i> |
|------------------|------------------|
| BIO-301          | BIO-101          |
| CS-190           | CS-101           |
| CS-347           | CS-101           |



# Outer Joins

- List all courses with their prerequisites

```
select C.course_id, C.title, C.credits, C.dept_name, P.course_id
from course as C, prereq as P
where C.course_id = P.course_id
```

| course_id | title       | dept_name  | credits |
|-----------|-------------|------------|---------|
| BIO-301   | Genetics    | Biology    | 4       |
| CS-190    | Game Design | Comp. Sci. | 4       |
| CS-315    | Robotics    | Comp. Sci. | 3       |

| course_id | prereq_id |
|-----------|-----------|
| BIO-301   | BIO-101   |
| CS-190    | CS-101    |
| CS-347    | CS-101    |

| C.course_id | C.title     | C.credits | C.dept_name | P.course_id |
|-------------|-------------|-----------|-------------|-------------|
| BIO-301     | Genetics    | 4         | Biology     | BIO-101     |
| CS-190      | Game Design | 4         | Comp. Sci.  | CS-101      |

# Outer Joins

- List all courses with their prerequisites

```
select C.course_id, C.title, C.credits, C.dept_name, P.prereq_id
from course as C left outer join prereq as P
on C.course_id = P.course_id
```

| course_id | title       | dept_name  | credits |
|-----------|-------------|------------|---------|
| BIO-301   | Genetics    | Biology    | 4       |
| CS-190    | Game Design | Comp. Sci. | 4       |
| CS-315    | Robotics    | Comp. Sci. | 3       |

| course_id | prereq_id |
|-----------|-----------|
| BIO-301   | BIO-101   |
| CS-190    | CS-101    |
| CS-347    | CS-101    |

| C.course_id | C.title     | C.credits | C.dept_name | P.prereq_id |
|-------------|-------------|-----------|-------------|-------------|
| BIO-301     | Genetics    | 4         | Biology     | BIO-101     |
| CS-190      | Game Design | 4         | Comp. Sci.  | CS-101      |
| CS-315      | Robotics    | 3         | Comp. Sci.  | null        |

# Join Operations

- **Join type** – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated
  - **inner join**: ignore
  - **outer join**: fill with **null** values
- **Join condition** – defines which tuples in the two relations match, and what attributes are present in the result of the join
  - explicit: **on** clause
  - implicit: **natural** keyword

for the moment:  
keyword for “a blank cell”

| <i>Join types</i>       | <i>Join Conditions</i>                  |
|-------------------------|-----------------------------------------|
| <b>inner join</b>       | <b>natural</b>                          |
| <b>left outer join</b>  | <b>on</b> <predicate>                   |
| <b>right outer join</b> | <b>using</b> ( $A_1, A_1, \dots, A_n$ ) |
| <b>full outer join</b>  |                                         |

# Outer Joins

- List all courses with their prerequisites

```
select C.course_id, C.title, C.credits, C.dept_name, P.prereq_id
from course as C right outer join prereq as P
on C.course_id = P.course_id
```

| course_id | title       | dept_name  | credits |
|-----------|-------------|------------|---------|
| BIO-301   | Genetics    | Biology    | 4       |
| CS-190    | Game Design | Comp. Sci. | 4       |
| CS-315    | Robotics    | Comp. Sci. | 3       |

| course_id | prereq_id |
|-----------|-----------|
| BIO-301   | BIO-101   |
| CS-190    | CS-101    |
| CS-347    | CS-101    |

| C.course_id | C.title     | C.credits | C.dept_name | P.prereq_id |
|-------------|-------------|-----------|-------------|-------------|
| BIO-301     | Genetics    | 4         | Biology     | BIO-101     |
| CS-190      | Game Design | 4         | Comp. Sci.  | CS-101      |
| CS-347      | null        | null      | null        | CS-101      |

# Outer Joins

- List all courses with their prerequisites

```
select C.course_id, C.title, C.credits, C.dept_name, P.prereq_id
from course as C full outer join prereq as P
on C.course_id = P.course_id
```

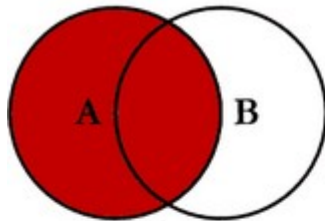
| course_id | title       | dept_name  | credits |
|-----------|-------------|------------|---------|
| BIO-301   | Genetics    | Biology    | 4       |
| CS-190    | Game Design | Comp. Sci. | 4       |
| CS-315    | Robotics    | Comp. Sci. | 3       |

| course_id | prereq_id |
|-----------|-----------|
| BIO-301   | BIO-101   |
| CS-190    | CS-101    |
| CS-347    | CS-101    |

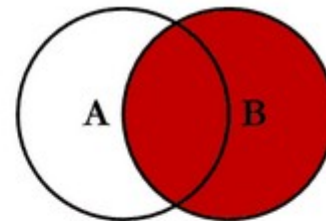
| C.course_id | C.title     | C.credits   | C.dept_name | P.prereq_id |
|-------------|-------------|-------------|-------------|-------------|
| BIO-301     | Genetics    | 4           | Biology     | BIO-101     |
| CS-190      | Game Design | 4           | Comp. Sci.  | CS-101      |
| CS-347      | <b>null</b> | <b>null</b> | <b>null</b> | CS-101      |
| CS-315      | Robotics    | 3           | Comp. Sci.  | <b>null</b> |

# Join Types at a Glance

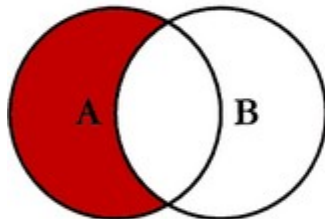
## SQL JOINS



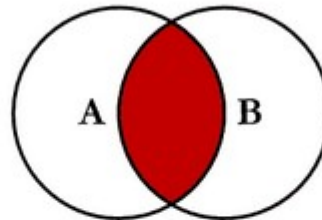
```
SELECT <select_list>
FROM TableA A
LEFT JOIN TableB B
ON A.Key = B.Key
```



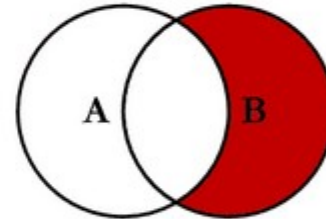
```
SELECT <select_list>
FROM TableA A
RIGHT JOIN TableB B
ON A.Key = B.Key
```



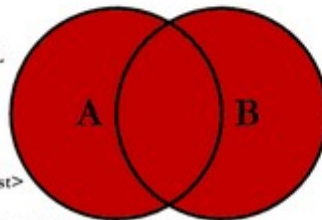
```
SELECT <select_list>
FROM TableA A
LEFT JOIN TableB B
ON A.Key = B.Key
WHERE B.Key IS NULL.
```



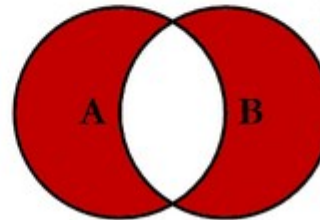
```
SELECT <select_list>
FROM TableA A
INNER JOIN TableB B
ON A.Key = B.Key
```



```
SELECT <select_list>
FROM TableA A
RIGHT JOIN TableB B
ON A.Key = B.Key
WHERE A.Key IS NULL.
```



```
SELECT <select_list>
FROM TableA A
FULL OUTER JOIN TableB B
ON A.Key = B.Key
```



```
SELECT <select_list>
FROM TableA A
FULL OUTER JOIN TableB B
ON A.Key = B.Key
WHERE A.Key IS NULL
OR B.Key IS NULL.
```

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<https://www.codeproject.com/Articles/33052/Visual-Representation-of-SQL-Joins>

# Ordering Results

- Recap: Relational Algebra works on sets
  - i.e., it does not have orderings
- For database applications, ordering is often useful, e.g.,
  - list students ordered by names

```
select id,name
from student
order by name
```
  - list instructors ordered by department first, then by name

```
select id,name,dept_name
from instructor
order by dept_name, name
```

# Limiting Results

- Find the three lecturers with the highest salaries

```
select id,name,salary
from instructor
order by salary desc
limit 3;
```

- Note:* the **desc** keyword creates a descending ordering
- asc** also exists and creates an ascending ordering
  - also the default when not specifying the direction



# Paging with LIMIT and OFFSET

- Applications, e.g., Web applications, often offer a *paged* view
- Example:
  - Display student list on pages of 100 students
  - with navigation (next page, previous page)

```
select id,name
from student
order by name
limit 100
offset 100;
```

- **offset** 100 means: skip the first 100 entries
  - i.e., this query would create the second page
- *Note:* offset should only be used with **order by**
  - otherwise, the results are not deterministic

# Set Operations

- All courses that are offered in HWS 2017 *and* FSS 2018  
(**select** *course\_id* **from** *section* **where** *sem* = 'HWS' **and** *year* = 2017)  
**intersect**  
(**select** *course\_id* **from** *section* **where** *sem* = 'FSS' **and** *year* = 2018)  
 $\pi_{\text{course\_id}}(\sigma_{\text{sem}='HWS' \wedge \text{year}=2017}(\text{section})) \cap \pi_{\text{course\_id}}(\sigma_{\text{sem}='FSS' \wedge \text{year}=2018}(\text{section}))$
- All courses that are offered in HWS 2017 *but not in* FSS 2018  
(**select** *course\_id* **from** *section* **where** *sem* = 'HWS' **and** *year* = 2017)  
**except**  
(**select** *course\_id* **from** *section* **where** *sem* = 'FSS' **and** *year* = 2018)  
 $\pi_{\text{course\_id}}(\sigma_{\text{sem}='HWS' \wedge \text{year}=2017}(\text{section})) - \pi_{\text{course\_id}}(\sigma_{\text{sem}='FSS' \wedge \text{year}=2018}(\text{section}))$

# Set Operations

- All courses that are offered in HWS 2017 *or* FSS 2018  
(**select** *course\_id* **from** *section* **where** *sem* = 'HWS' **and** *year* = 2017)  
**union**  
(**select** *course\_id* **from** *section* **where** *sem* = 'FSS' **and** *year* = 2018)  
 $\pi_{\text{course\_id}}(\sigma_{\text{sem}='HWS' \wedge \text{year}=2017}(\text{section})) \cup \pi_{\text{course\_id}}(\sigma_{\text{sem}='FSS' \wedge \text{year}=2018}(\text{section}))$
- Alternative solution  
(**select** *course\_id* **from** *section* **where**  
(*sem* = 'HWS' **and** *year* = 2017) **or** (*sem* = 'FSS' **and** *year* = 2018))  
 $\pi_{\text{course\_id}}(\sigma_{(\text{sem}='HWS' \wedge \text{year}=2017) \vee (\text{sem}='FSS' \wedge \text{year}=2018)}(\text{section}))$

# Aggregate Functions – Examples

- Find the average salary of instructors in the Computer Science department
  - **select avg** (*salary*)  
**from** *instructor*  
**where** *dept\_name*= 'Comp. Sci.';
- Find the number of tuples in the *course* relation
  - **select count** (\*)  
**from** *course*;
- Find the total number of instructors who teach a course in the Spring 2010 semester
  - **select count** (**distinct** *ID*)  
**from** *teaches*  
**where** *semester* = 'Spring' **and** *year* = 2010;



Why do we need  
**distinct** here?

# Aggregate Functions with Group By

- Find the average salary of instructors in each department
  - select** *dept\_name*, **avg** (*salary*) **as** *avg\_salary*  
**from** *instructor*  
**group by** *dept\_name*;

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 76766     | Crick       | Biology          | 72000         |
| 45565     | Katz        | Comp. Sci.       | 75000         |
| 10101     | Srinivasan  | Comp. Sci.       | 65000         |
| 83821     | Brandt      | Comp. Sci.       | 92000         |
| 98345     | Kim         | Elec. Eng.       | 80000         |
| 12121     | Wu          | Finance          | 90000         |
| 76543     | Singh       | Finance          | 80000         |
| 32343     | El Said     | History          | 60000         |
| 58583     | Califieri   | History          | 62000         |
| 15151     | Mozart      | Music            | 40000         |
| 33456     | Gold        | Physics          | 87000         |
| 22222     | Einstein    | Physics          | 95000         |

| <i>dept_name</i> | <i>avg_salary</i> |
|------------------|-------------------|
| Biology          | 72000             |
| Comp. Sci.       | 77333             |
| Elec. Eng.       | 80000             |
| Finance          | 85000             |
| History          | 61000             |
| Music            | 40000             |
| Physics          | 91000             |

# Aggregate Functions with Group By

- Attributes in **select** clause outside of aggregate functions must appear in **group by** list

*/\* erroneous query \*/*

```
select dept_name, ID, avg (salary)
from instructor
group by dept_name;
```

why?

| ID    | name       | dept_name  | salary |
|-------|------------|------------|--------|
| 76766 | Crick      | Biology    | 72000  |
| 45565 | Katz       | Comp. Sci. | 75000  |
| 10101 | Srinivasan | Comp. Sci. | 65000  |
| 83821 | Brandt     | Comp. Sci. | 92000  |
| 98345 | Kim        | Elec. Eng. | 80000  |
| 12121 | Wu         | Finance    | 90000  |
| 76543 | Singh      | Finance    | 80000  |
| 32343 | El Said    | History    | 60000  |
| 58583 | Califieri  | History    | 62000  |
| 15151 | Mozart     | Music      | 40000  |
| 33456 | Gold       | Physics    | 87000  |
| 22222 | Einstein   | Physics    | 95000  |

| dept_name  | avg_salary |
|------------|------------|
| Biology    | 72000      |
| Comp. Sci. | 77333      |
| Elec. Eng. | 80000      |
| Finance    | 85000      |
| History    | 61000      |
| Music      | 40000      |
| Physics    | 91000      |

# Conditions on Aggregate Values

- Find the names and average salaries of all departments whose average salary is greater than 42000

- `select dept_name, avg (salary) as avg_salary  
from instructor  
group by dept_name  
where avg_salary > 42000;`



- Problem:
  - Aggregation is performed *after* selection and projection
  - Hence, the variable `avg_salary` is not available when the **where** clause is evaluated
- The above query will not work

# Conditions on Aggregate Values

- Find the names and average salaries of all departments whose average salary is greater than 42000
  - `select dept_name, avg (salary) as avg_salary  
from instructor  
group by dept_name  
having avg_salary > 42000;`
- The **having** clause is evaluated *after* the aggregation
- Hence, it is different from the **where** clause
- Rule of thumb
  - Conditions on aggregate values can only be defined using **having**



performance!



# NULL Values

- *null* signifies an unknown value or that a value does not exist
- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
  - can be forbidden by a **not null** constraint
  - keys can never be null!
- The result of any arithmetic expression involving *null* is *null*
- Example:  $5 + \text{null}$  returns null
- The predicate **is null** can be used to check for null values
- Example: Find all instructors whose salary is null.

```
select name
 from instructor
 where salary is null
```

# NULL Values and Three Valued Logic

- Three values – *true*, *false*, *unknown*
- Any comparison with *null* returns *unknown*
  - Example:  $5 < null$  or  $null <> null$  or  $null = null$
- Three-valued logic using the value *unknown*:
  - OR:  $(unknown \text{ or } true) = true$ ,  
 $(unknown \text{ or } false) = unknown$   
 $(unknown \text{ or } unknown) = unknown$
  - AND:  $(true \text{ and } unknown) = unknown$ ,  
 $(false \text{ and } unknown) = false$ ,  
 $(unknown \text{ and } unknown) = unknown$
  - NOT:  $(\text{not } unknown) = unknown$
- “*P* is unknown” evaluates to true if predicate *P* evaluates to *unknown*
- Result of **where** clause predicate is treated as *false* if it evaluates to *unknown*

# Aggregates and NULL Values

- Total all salaries  
**select sum (salary )**  
**from instructor**
  - Above statement ignores null amounts
  - Result is *null* if there is no non-null amount
- All aggregate operations except **count(\*)** ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null

| ID    | name       | dept_name  | salary |
|-------|------------|------------|--------|
| 76766 | Crick      | Biology    | 72000  |
| 45565 | Katz       | Comp. Sci. | 75000  |
| 10101 | Srinivasan | Comp. Sci. | null   |
| 83821 | Brandt     | Comp. Sci. | 92000  |
| 98345 | Kim        | Elec. Eng. | 80000  |
| 12121 | Wu         | Finance    | null   |
| 76543 | Singh      | Finance    | 80000  |
| 32343 | El Said    | History    | 60000  |
| 58583 | Califieri  | History    | null   |
| 15151 | Mozart     | Music      | 40000  |
| 33456 | Gold       | Physics    | 87000  |
| 22222 | Einstein   | Physics    | null   |

# Subqueries

- SQL provides a mechanism for the nesting of subqueries. A **subquery** is a **select-from-where** expression that is nested within another query.
- The nesting can be done in the following SQL query

```
select A_1, A_2, \dots, A_n
from r_1, r_2, \dots, r_m
where P
```

as follows:

- $A_i$  can be replaced by a subquery that generates a single value
- $r_i$  can be replaced by any valid subquery
- $P$  can be replaced with an expression of the form:

$B \text{ <operation> (subquery)}$

Where  $B$  is an attribute and <operation> to be defined later

# Subqueries in the WHERE Clause

- A common use of subqueries is to perform tests:
  - for set membership
  - for set comparisons
  - for set cardinality

# Test for Set Membership

- Find courses offered in Fall 2009 and in Spring 2010

```
select distinct course_id
from section
where semester = 'Fall' and year= 2009 and
 course_id in (select course_id from section
 where semester = 'Spring' and year= 2010);
```

- Find courses offered in Fall 2009, but not in Spring 2010

```
select distinct course_id
from section
where semester = 'Fall' and year= 2009 and
 course_id not in (select course_id from section
 where semester = 'Spring' and year= 2010);
```

# Test for Set Membership

- Find the total number of (distinct) students who have taken course sections taught by the instructor with *ID* 10101

```
select count (distinct ID)
from takes
where (course_id, sec_id, semester, year) in
 (select course_id, sec_id, semester, year
 from teaches
 where teaches.ID= 10101);
```

- Note: in all of those cases,  
other (sometimes much simpler) solutions are possible
  - In SQL, there are often different ways to solve a problem
  - A question of personal taste
  - But also: a question of performance...

# Test for Set Membership

- Find the total number of (distinct) students who have taken course sections taught by the instructor with *ID* 10101

```
select count (distinct ID)
from takes
where (course_id, sec_id, semester, year) in
 (select course_id, sec_id, semester, year
 from teaches
 where teaches.ID= 10101);
```

creates a  
temporary  
table

- VS.

```
select count (distinct takes.ID)
from takes, teaches
where takes.course_id = teaches.course_id and teaches.ID = 10101;
```

computes  
Cartesian  
product



# Set Comparison with SOME

- Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept name = 'Biology';
```

- Same query using > **some** clause

```
select name
from instructor
where salary > some (select salary
 from instructor
 where dept name = 'Biology');
```

# Set Comparison with ALL

- Find names of instructors with salary greater than that of all instructors in the Biology department

```
select name
from instructor
where salary > all (select salary
 from instructor
 where dept name = 'Biology');
```

- Note: we could also achieve this with MIN and MAX aggregates in the subqueries

# Definition: Comparisons with SOME

- $F <\text{comp}> \text{some } r \Leftrightarrow \exists t \in r \text{ such that } (F <\text{comp}> t)$

Where  $<\text{comp}>$  can be:  $<$ ,  $\leq$ ,  $>$ ,  $=$ ,  $\neq$

$(5 < \text{some } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{true}$  (read:  $5 <$  some tuple in the relation)

$(5 < \text{some } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{false}$

$(5 = \text{some } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true}$

$(5 \neq \text{some } \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true (since } 0 \neq 5)$

$(= \text{some}) \equiv \text{in}$

However,  $(\neq \text{some}) \not\equiv \text{not in}$

# Definition: Comparisons with ALL

- $F <\text{comp}> \mathbf{all} \ r \Leftrightarrow \forall t \in r \ (F <\text{comp}> t)$

$$(5 < \mathbf{all} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{false}$$

$$(5 < \mathbf{all} \begin{array}{|c|} \hline 6 \\ \hline 10 \\ \hline \end{array}) = \text{true}$$

$$(5 = \mathbf{all} \begin{array}{|c|} \hline 4 \\ \hline 5 \\ \hline \end{array}) = \text{false}$$

$$(5 \neq \mathbf{all} \begin{array}{|c|} \hline 4 \\ \hline 6 \\ \hline \end{array}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

$(\neq \mathbf{all}) \equiv \mathbf{not\ in}$

However,  $(= \mathbf{all}) \not\equiv \mathbf{in}$

# Existential Quantification in Subqueries

- Yet another way of specifying the query “Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester”

```
select course_id
from section as S
where semester = 'Fall' and year = 2009 and
 exists (select *
 from section as T
 where semester = 'Spring' and year = 2010
 and S.course_id = T.course_id);
```

- The **exists** construct returns the value **true** if the result of the subquery is not empty
  - **exists**  $r \Leftrightarrow r \neq \emptyset$
  - **not exists**  $r \Leftrightarrow r = \emptyset$

# Subqueries with NOT EXISTS

- Find all students who have taken all courses offered in the Biology department

```
select distinct S.ID, S.name
from student as S
where not exists ((select course_id
 from course
 where dept_name = 'Biology')
except
 (select T.course_id
 from takes as T
 where S.ID = T.ID));
```

- First nested query lists all courses offered in Biology
  - Second nested query lists all courses a particular student took
- Note that  $X - Y = \emptyset \Leftrightarrow X \subseteq Y$
- Note: Cannot write this query using **= all** and its variants

# Test for Duplicate Tuples

- Find all courses that were offered at most once in 2009

```
select T.course_id
from course as T
where unique (select R.course_id
 from section as R
 where T.course_id= R.course_id
 and R.year = 2009);
```

- The **unique** construct evaluates to “true” if a given subquery contains no duplicates
- With **not unique**, we could query for courses that were offered more than once

# Subqueries in the FROM Clause

- So far, we have considered subqueries in the **where** clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000."

```
select dept_name, avg_salary
from
 (select dept_name, avg (salary) as avg_salary
 from instructor
 group by dept_name)
where avg_salary > 42000;
```

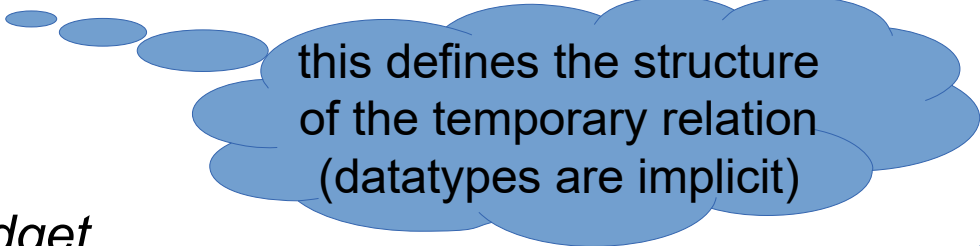
- Note that we do not need to use the **having** clause
  - why?



# Creating Temporary Relations Using WITH

- Find all departments with the maximum budget

```
with max_budget (value) as
 (select max(budget)
 from department)
select department.name
from department, max_budget
where department.budget = max_budget.value;
```



this defines the structure  
of the temporary relation  
(datatypes are implicit)

- The **with** clause provides a way of defining a temporary relation whose definition is available only to the query in which the **with** clause occurs

# Creating Temporary Relations Using WITH

- A more complex example involving two temporary relations:
  - Find all departments where the total salary is greater than the average of the total salary at all departments

**with**

```
dept_total (dept_name, value) as
 (select dept_name, sum(salary)
 from instructor
 group by dept_name),
dept_total_avg(value) as
 (select avg(value)
 from dept_total)
select dept_name
from dept_total, dept_total_avg
where dept_total.value > dept_total_avg.value;
```

# Scalar Subqueries in the SELECT Part

- List all departments along with the number of instructors in each department

```
select dept_name,
 (select count(*)
 from instructor
 where
 department.dept_name = instructor.dept_name)
 as num_instructors
from department;
```

- Scalar subqueries return a single result
  - More specifically: a single *tuple*
- Runtime error if subquery returns more than one result tuple

# Summary of Subqueries

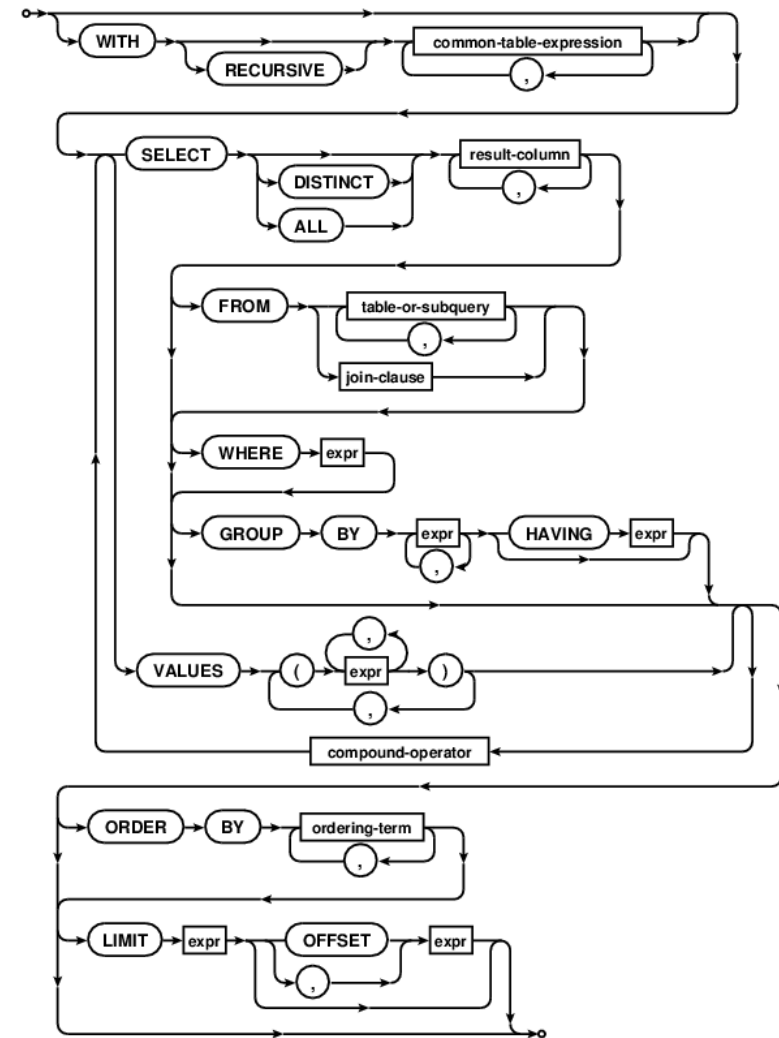
- SELECT queries are the most often used part of SQL
- Their basic structure is simple, but subqueries are a powerful means to make them quite expressive

**select**  $A_1, A_2, \dots, A_n$   
**from**  $r_1, r_2, \dots, r_m$   
**where**  $P$

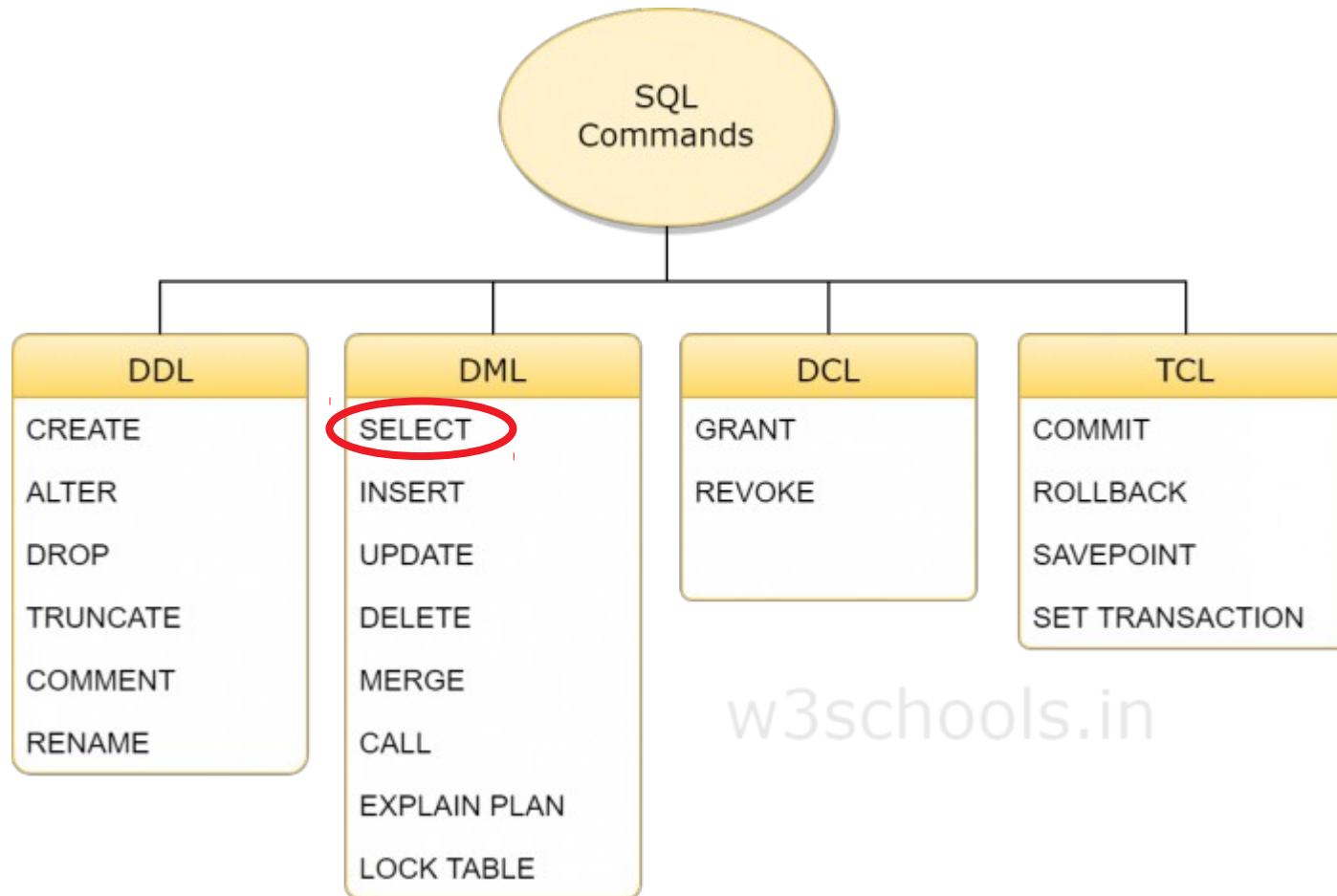
- Subqueries in **select** part ( $A_1, A_2, \dots, A_n$ )
  - Scalar subqueries (single values, like aggregates)
- Subqueries in **from** part ( $r_1, r_2, \dots, r_m$ )
  - Temporary relations (can also be defined using **with**)
- Subqueries in **where** part ( $P$ )
  - Set comparisons, empty sets, test for duplicates
  - Universal and existential quantification

# Summary: SQL SELECT at a Glance

- The tool support of SQL varies
- what we have covered here is standard SQL
  - Supported by *most* tools



# Recap: The Big Picture



Source: <https://www.w3schools.in/mysql/ddl-dml-dcl/>

# Summary and Take Aways

- SQL is a standardized language for relational databases
  - DML: Data Manipulation Language
- DML
  - Read data from tables using SELECT
- Coming Up:
  - Writing data to tables
  - Creating and changing tables
  - Rights & Roles
  - ...



# Questions?

