LLM Agents and Tool Use



IE686 Large Language Models and Agents



Credits



- This slide set is based on slides from
 - Shunyu Yao
 - Yankai Lin
 - Yang Deng, An Zhang et al.
- Many thanks to all of you!

Outline



- Recap: Prompt Engineering and Efficient Adaptation
- What is an Agent?
- Tool Usage for LLMs
- The ReAct Paradigm
- Unified Framework for LLM Agents
- Evaluating Agents

Recap: Prompting

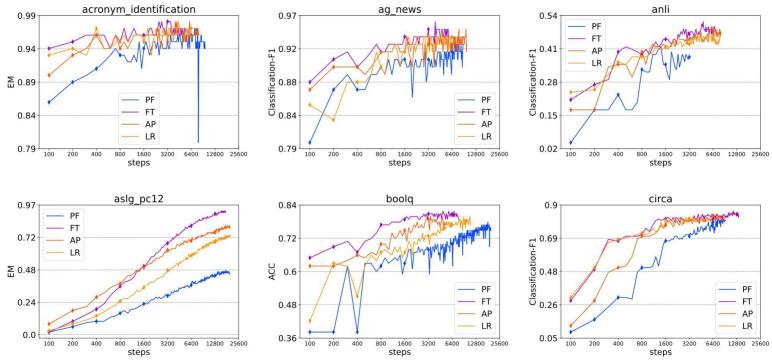


- For many tasks, supervised fine-tuning data may not be available or may be costly to obtain
- Due to **emergent abilities** coupled with instruction tuning, we can simply prompt or instruct models to do a task!
- Prompts are written in **natural language**
- Prompting is **non-invasive**:
 - No additional parameters are introduced
 - No tuning of existing parameters
 - No need to inspect model's embeddings

Recap: Fine-tuning Methods



- Given enough data and computing resources
- Overall performance on T5-base: Full fine-tuning > LoRA > Adapters > Prefix Tuning > Prompt Tuning



Ding, N., et al., 2022. Delta tuning: A comprehensive study of parameter efficient methods for pre-trained language models. *arXiv* preprint arXiv:2203.06904. University of Mannheim | IE686 LLMs and Agents | LLM Agents and Tool Use | Version 04.10.2024

Recap: Evaluating LLMs



• Benchmark-based evaluation

- Format problem into prompt and generate result
- Parse result and compute standard metrics like accuracy
- Good for close-ended evaluation

Model-based evaluation

- Use LLM like GPT-4 as surrogate for human evaluation
- Shown to achieve high agreement with human evaluators

Human-based evaluation

- Human evaluators judge answer of LLMs
 - Pair-wise comparison of two answers from different models
 - Single-answer grading: score a single answer from an LLM
- Good for open-ended evaluation

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What is an Agent?









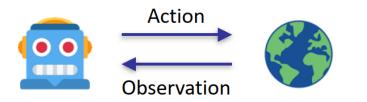




 LLM-powered Agents are artificial entities that enhance LLMs with essential capabilities enabling them to sense their environment, make decisions, and take actions.

What is an Agent?





- An "intelligent" system that interacts with some "environment"
 - Physical environments: robot, autonomous car, ...
 - Digital environments: DQN for Atari, Siri, AlphaGo
 - Humans as environment: Chatbots

What is an Agent?



Opinion The FT View + Add to myFT

The advent of the AI agent

OpenAl's app store marks the emergence of chatbots able to perform tasks

THE EDITORIAL BOARD + Add to myFT

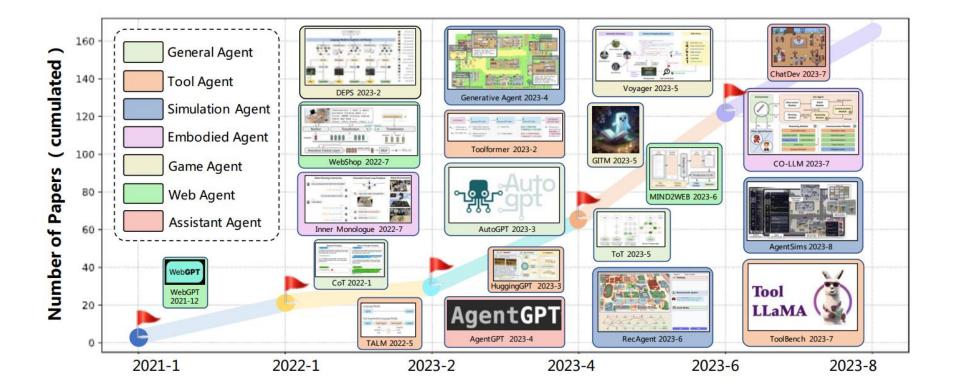


- Sam Altman said in one of his key notes: "GPTs and Assistants are precursors to agents. They will gradually be able to plan and to perform more complex actions on your behalf. These are our <u>first steps toward AI Agents</u>."
- Bill Gates wrote in his Blog: "Agents are not only going to change how everyone interacts with computers. They're also going to **upend the software industry**, bringing about the biggest revolution in computing since we went from typing commands to tapping on icons."

Financial Times. "<u>The advent of the AI agent</u>" GatesNotes. "<u>The Future of Agents: AI is about to completely change how you use computers</u>"

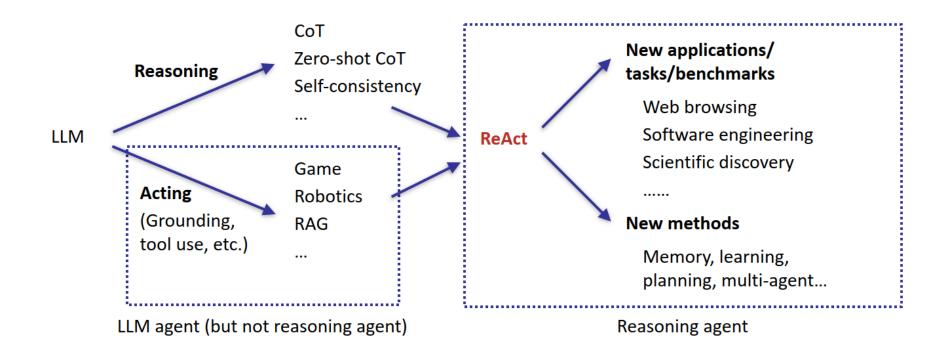
LLM Agents over Time





A brief history of LLM agents



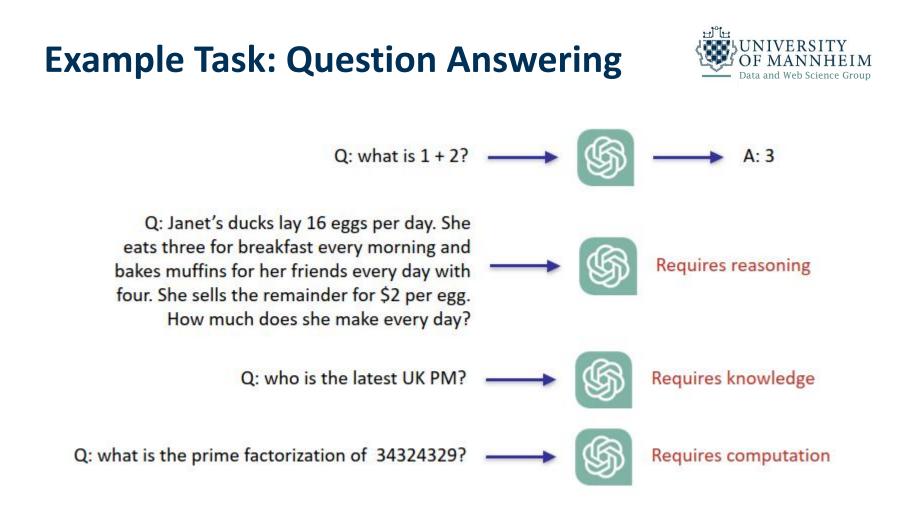


Wang, L., et al., 2024. A survey on large language model based autonomous agents. *Frontiers of Computer Science*, *18*(6), p.186345. University of Mannheim | IE686 LLMs and Agents | LLM Agents and Tool Use | Version 04.10.2024

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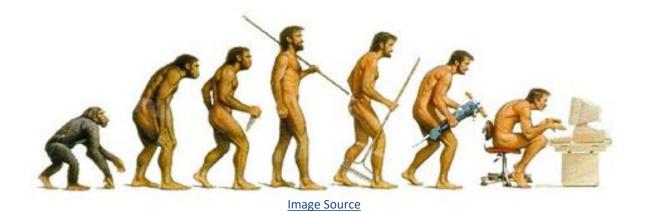


• Various solutions were developed for the different QA tasks

Supporting LLMs with Tools

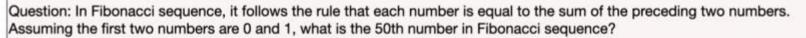


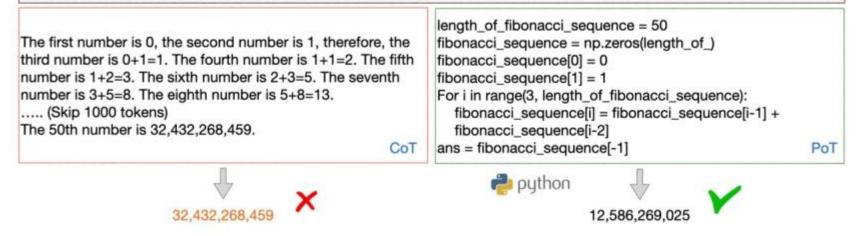
- How did humanity develop over time to where we are now?
- An important factor: Usage of Tools
 - Spears, the plow, electricity, computers, ...
 - Today we have many complex tools to help us solve problems, e.g. calculators, search engines, ...



Mialon, G., et al. 2023, Augmented Language Models: a Survey. *Transactions on Machine Learning Research*. University of Mannheim | IE686 LLMs and Agents | LLM Agents and Tool Use | Version 04.10.2024

Example: Code Generation for Computational Problems





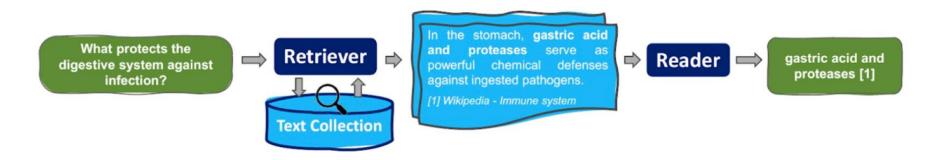
- Leverages external tool (python interpreter) to decouple computation from reasoning
- LLM can make calls to the interpreter to run generated code

Chen, W. et al., 2023 Program of Thoughts Prompting: Disentangling Computation from Reasoning for Numerical Reasoning Tasks. *Transactions on Machine Learning Research*.

Retrieval-augmented Generation for Knowledge Problems



- Answer knowledge-intensive questions with
 - Extra corpora
 - A retriever (e.g. BM25, DensePassageRetrieval, etc.)



- What if there is no corpus?
 - Example Question: Who are the two candidates for the 2024 US presidential election?

Teaching LLMs to use Tools



- Add special tokens to invoke tool calls for
 - Search engines, calculators, etc.
 - Task-specific models (translation)
 - APIs
- Unnatural format requires task/tool-specific fine-tuning

A weather task:

how hot will it get in NYC today? |*weather* lookup region=NYC |*result* precipitation chance: 10, high temp: 20c, low-temp: 12c |*output* today's high will be 20C Out of 1400 participants, 400 (or [Calculator(400 / 1400) \rightarrow 0.29] 29%) passed the test.

The name derives from "la tortuga", the Spanish word for $[MT("tortuga") \rightarrow turtle]$ turtle.

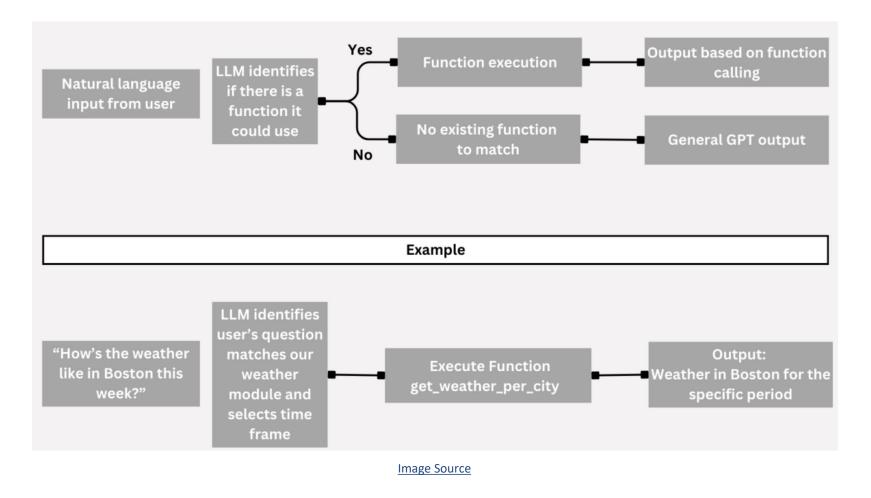
The Brown Act is California's law [WikiSearch("Brown Act") \rightarrow The Ralph M. Brown Act is an act of the California State Legislature that guarantees the public's right to attend and participate in meetings of local legislative bodies.] that requires legislative bodies, like city councils, to hold their meetings open to the public.

Parisi, A., et al., 2022. Talm: Tool augmented language models. arXiv preprint arXiv:2205.12255.

Schick, T., et al., 2024. Toolformer: Language models can teach themselves to use tools. *Advances in Neural Information Processing Systems*, *36*.

Tool Usage: General Process

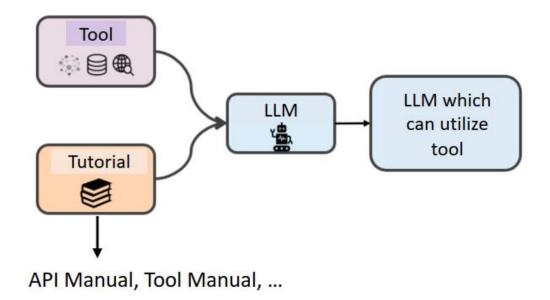




Tool Learning: Tutorial



- Tutorial Learning
 - Have model tuned for tool use read tool manuals (tutorials), so that it understands the functions of the tool and how to invoke them
 - Works well with powerful LLMs



Tool Learning Prompt



Zero-shot Prompting: Here we provide a tool (API) "forecast_weather(city:str, N:int)", which could forecast the weather about a city on a specific date (after N days from today). The returned information covers "temperature", "wind", and "precipitation". Please write codes using this tool to answer the following question: "What's the average temperature in Beijing next week?" Few-shot Prompting: We provide some examples for using a tool. Here is a tool for you to answer question: Question: "What's the temperature in Shanghai tomorrow?" return forecast_weather("Shanghai", 1) ["temperature"] Question: "Will it rain in London in next two days?" for i in range(2): if forecast_weather("London", i+1)["precipitation"] > 0:

return False

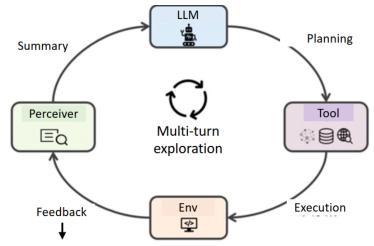
return True

Question: "What's the average temperature in San Francisco next week?"

Tool Learning: RL



- Reinforcement Learning
 - Autonomous exploration and correction of errors based on environmental feedback through reinforcement learning
 - Action space defined by tools
 - Agent learns to select appropriate tool
 - Correct action maximize reward signal



API Calling Success Rate, User Feedback ...

Tool Learning: Self-supervised



- Self-supervised Tool Learning
 - Pre-defined tool APIs
 - Encourage models to call and execute tool APIs
 - Design self-supervised loss to evaluate tool execution helpfulness



If the tool execution reduces LM loss, save the instances as training data

Schick, T et al., 2024. Toolformer: Language models can teach themselves to use tools. *Advances in Neural Information Processing Systems*, *36*.

Early Example: WebGPT



- Supervised Learning performed at OpenAl
 - Trying to copy human behavior to use search engines
 - Supervised fine-tuning + reinforcement learning
 - Only 6000 annotated data instances

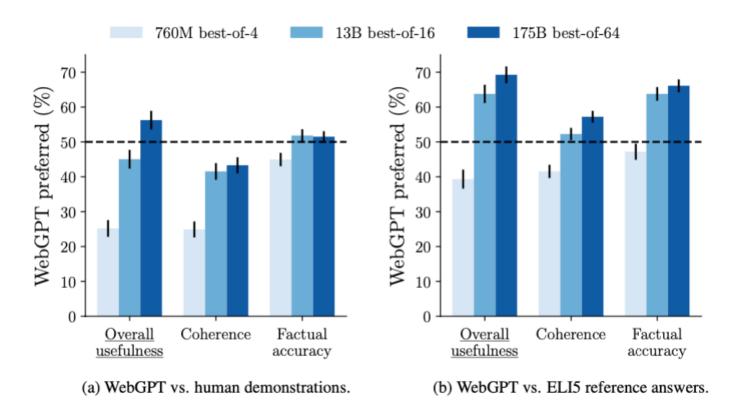
How can I train the crows in my neighborhood to bring me gifts?		 •Question How can I train the crows in my neighborhood to bring me gifts? •Quotes From Gifts From Crows Outside My Window (www.birdsoutsidemywindow.org) > Many animals give gifts to members of their own species but crows and
This question does not make sense This question should not be answered		
Search results for: how to train crows to bring you gifts		other corvids are the only ones known to give gifts to humans. Past actions Search how to train crows to bring you gifts
 ← how to train crows to bring Find in page How to Make Friends With Crows - PetHelpful If you did this a few times, your crows would learn your new place, but as I said, I'm not sure if they will follow or visit you there since it's probably not in their territory. The other option is simply to make new crow friends with the crows that live in your new neighborhood. <u>Gifts From Crows Outside My Window</u> The partial piece of apple may have been left behind when the crow startled rather than as a gift. If the crows bring bright objects you'll know for sure that it's a gift because it's not something they eat. Brandi Williams says: May 28, 2020 at 7:19 am. 	+ Add new quote [1] Gifts From Crows Outside My Window (www.birdsoutsidemywindow.org) Many animals give gifts to members of their own species but crows and other corvids are the only ones known to give gifts to humans. Number of quote tokens left: 463 Number of actions left: 96 Done quoting! Write an answer	Click Gifts From Crows Outside My Window www.birdsoutsidemywindow.org Quote Back •Title Search results for: how to train crows to bring you gifts •Scrollbar: 0 - 11 •Text 10 How to Make Friends With Crows - PetHelpful†pethelpful.com) If you did this a few times, your crows would learn your new place, but as I said, I'm not sure if they will follow or visit you there since it's probably not in their territory. The other option is simply to make new crow friends with the crows that live in your new neighborhood. (1†Gifts From Crows Outside My Window†www.birdsoutsidemywindow.org) The partial piece of apple may have been left behind when the crow was startled rather than as a gift. If the crows bring bright objects you'll know for sure that it's a gift because it's not something they eat. Brandi Williams says: May 28, 2020 at 7:19 am. •Actions left: 96

Nakano, R., et al., 2021. WebGPT: Browser-assisted question-answering with human feedback. arXiv preprint arXiv:2112.09332.

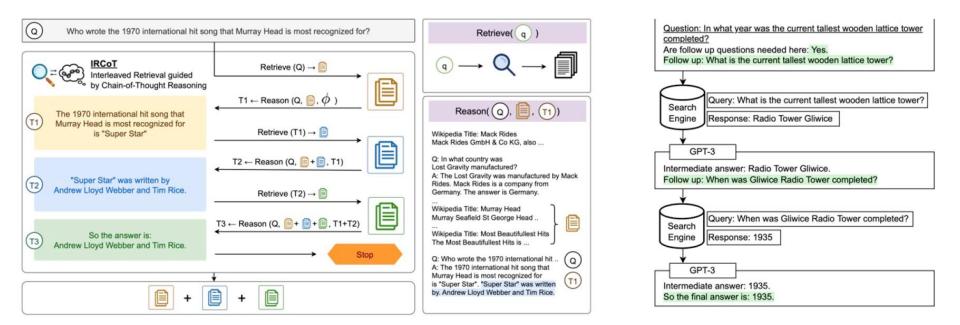
Early Example: WebGPT



• Excellent performance in long-form QA, even surpassing human experts sometimes



What if Both External Knowledge and Reasoning are needed?



Some methods combine tool use/RAG and reasoning methods for specific tasks

Trivedi, H., et al., 2023, July. Interleaving Retrieval with Chain-of-Thought Reasoning for Knowledge-Intensive Multi-Step Questions. In *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)* (pp. 10014-10037).

Press, O., et al., 2023, December. Measuring and Narrowing the Compositionality Gap in Language Models. In *Findings of the Association for Computational Linguistics: EMNLP 2023* (pp. 5687-5711).

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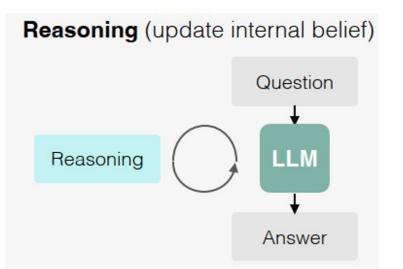
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OF MANNHI Data and Web Science

Reasoning OR Acting

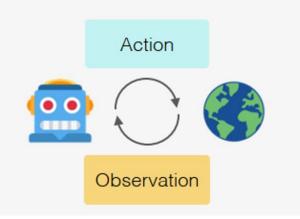




Flexible and general to augment test-time compute

Lack of external knowledge and tools

Acting (obtain external feedback)



Lack of reasoning

Flexible and general to augment knowledge, computation, feedback, etc.

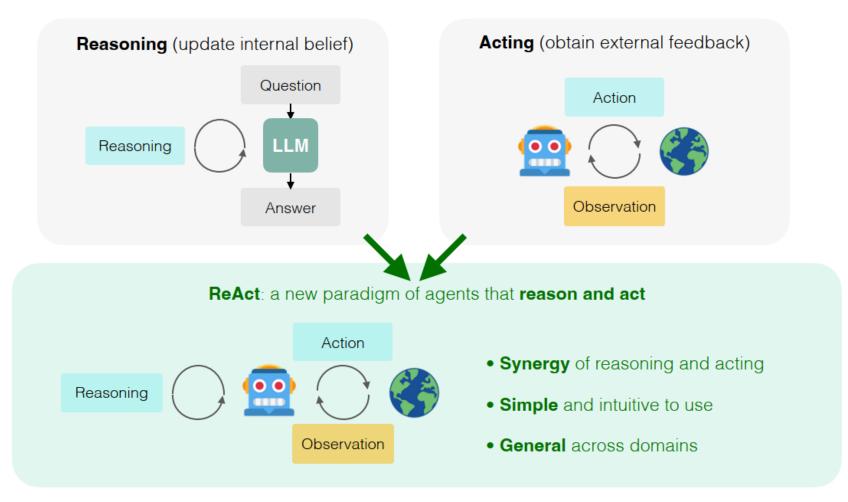
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The ReAct Paradigm





Yao, S., et al., 2023. ReAct: Synergizing Reasoning and Acting in Language Models. In *The Eleventh International Conference on Learning Representations*.

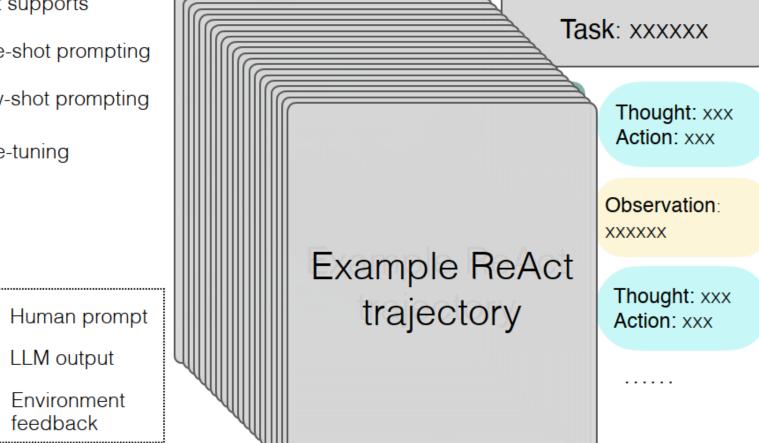
ReAct is Simple and Intuitive to Use



ReAct supports

- One-shot prompting
- Few-shot prompting

Fine-tuning



Zero-shot ReAct Prompt



You are an agent that answers questions by using two actions:

- search[query]: Google search the query. You can also use it to calculate math.
- finish[answer]: return the answer

Your generation should have the following format: Thought: to analyze the observation and inform the action. Action: your action

Question: If I have seven trillion dollars today, can I buy Apple, Nvidia, and Microsoft? If not, how much more money do I need?

Zero-shot ReAct Prompt



(GPT-4)

Thought: I need to find the current market capitalization of Apple, Nvidia, and Microsoft to understand how much it would cost to buy all of them. **Action**: search["current market capitalization of Apple, Nvidia, and Microsoft"]

Observation: "Nvidia's market cap stood at \$1.832 trillion as of about 10 a.m. ET Wednesday, while Alphabet's was at \$1.814 trillion, bringing Nvidia behind just Microsoft (MSFT) at \$3.015 trillion and Apple (AAPL) at \$2.836 trillion."



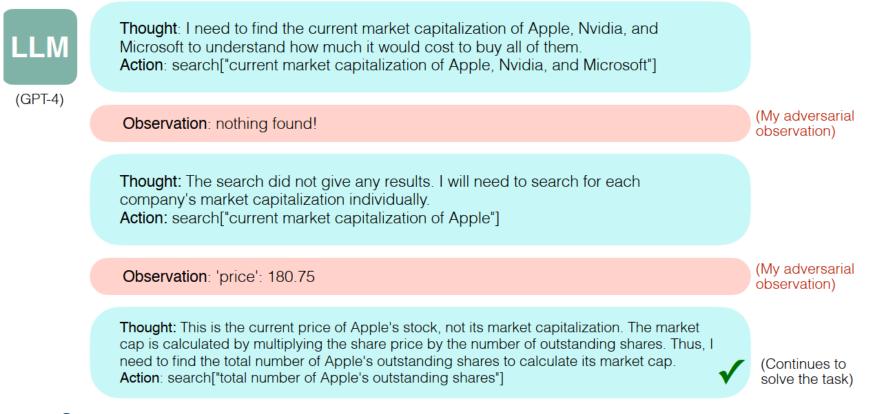
Thought: Now that I have the market cap for all companies, let's add them together and see if \$7 trillion is enough. **Action:** search["1.832 trillion + 3.015 trillion + 2.836 trillion"]

Observation: 768300000000

Thought: The amount of money needed in addition to the \$7 trillion is \$683 billion. Action: finish["You need an additional \$683 billion to be able to buy Apple, Nvidia, and Microsoft."]

Zero-shot ReAct Prompt





- Synergy
 - Acting supports reasoning
 - Reasoning guides acting

Converting Tasks to Text





You are in the middle of a room. Looking quickly around you, you see a drawer 2, a shelf 5, a drawer 1, a shelf 4, a sidetable 1, a drawer 5, a shelf 6, a shelf 1, a shelf 9, a cabinet 2, a sofa 1, a cabinet 1, a shelf 3, a cabinet 3, a drawer 3, a shelf 11, a shelf 2, a shelf 10, a dresser 1, a shelf 12, a garbagecan 1, a armchair 1, a cabinet 4, a shelf 7, a shelf 8, a safe 1, and a drawer 4.

Your task is to: put some vase in safe.

> go to shelf 6
You arrive at loc 4. On the shelf 6, you see a vase 2.

> take vase 2 from shelf 6 You pick up the vase 2 from the shelf 6.

> go to safe 1
You arrive at loc 3. The safe 1 is closed.

> open safe 1
You open the safe 1. The safe 1 is open. In it, you see a keychain 3.

> put vase 2 in/on safe 1
You won!

ALFRED: A Benchmark for Interpreting Grounded Instructions for Everyday Tasks. ALFWorld: Aligning Text and Embodied Environments for Interactive Learning.

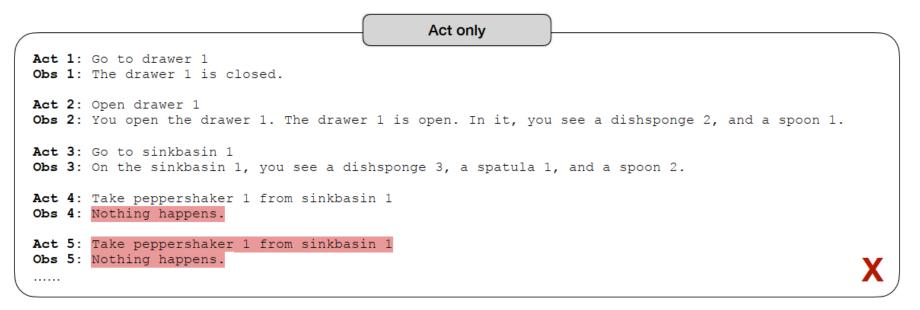
- Many tasks can be turned into natural language for LLM agents
- "LLM grounding": Supplementing the LLM with use-case specific information, e.g a data store that is part of a RAG system

Brohan, A., et al., 2023, March. Do as i can, not as i say: Grounding language in robotic affordances. In *Conference on robot learning* (pp. 287-318). PMLR. Huang, W., et al., 2023, March. Inner Monologue: Embodied Reasoning through Planning with Language Models. In *Conference on Robot Learning* (pp. 1769-1782). PMLR. University of Mannheim | IE686 LLMs and Agents | LLM Agents and Tool Use | Version 04.10.2024

Acting without Reasoning



You are in the middle of a room. Looking quickly around you, you see a cabinet 6, a cabinet 1, a coffee machine 1, a countertop 3, a stove burner 1, and a toaster 1. Your task is to: Put some pepper shaker on a drawer.



Cannot explore systematically or incorporate feedback

ReAct Enables Systematic Exploration



You are in the middle of a room. Looking quickly around you, you see a cabinet 6, a cabinet 1, a coffee machine 1, a countertop 3, a stove burner 1, and a toaster 1. Your task is to: Put some pepper shaker on a drawer.

ReAct Act 1: Think [First I need to find a peppe shaker...more likely to appear in cabinets (1-6), countertops (1-3), ...] Act 2: Go to cabinet 1 **Obs 2:** On the cabinet 1, you see a vase 2. (Here the agent goes to cabinet 1, 2, 3, 4, 5, 6, then countertop 1 and 2) Act 9: Go to countertop 3 **Obs 9**: On the countertop 3, you see a apple 1, a bread 1, a pepper shaker 1, and a vase 3. Act 10: Take pepper shaker 1 from countertop 3 Obs 10: You pick up the pepper shaker 1 from the countertop 3. Act 11: Think [Now I find a pepper shaker 1. Next, I need to put it in/on drawer 1.] Act 12: Go to drawer 1 **Obs 12:** Drawer 1 is closed. Act 13: Open drawer 1 Obs 13: You open Drawer 1 ... Act 14: Put pepper shaker 1 in/on drawer 1 Obs 14: You put pepper shaker 1 in/on the drawer 1.

ReAct is general and effective



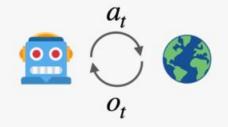
	(NLP tasks)		(RL tasks)
PaLM-540B	HotpotQA (QA)	FEVER (fact check)	ALFWorld (Text game)
Reason	29.4	56.3	N/A
Act	25.7	58.9	45
ReAct	35.1	64.6	71

Yao, S., et al., 2023, ReAct: Synergizing Reasoning and Acting in Language Models. In *The Eleventh International Conference on Learning Representations*.

ReAct vs. Traditional Agents

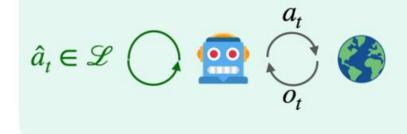


Traditional agents: action space A defined by the environment



- External feedback o_t
- Agent context $c_t = (o_1, a_1, o_2, a_2, \cdots, o_t)$
- Agent action $a_t \sim \pi(a \mid c_t) \in A$

ReAct: action space $\hat{A} = A \cup \mathscr{L}$ augmented by reasoning



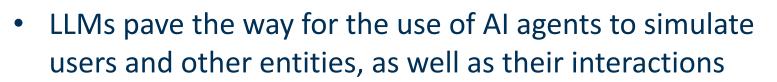
- $\hat{a}_t \in \mathscr{L}$ can be any language sequence
- Agent context $c_{t+1} = (c_t, \hat{a}_t, a_t, o_{t+1})$
- $\hat{a}_t \in \mathscr{L}$ only updates **internal context**

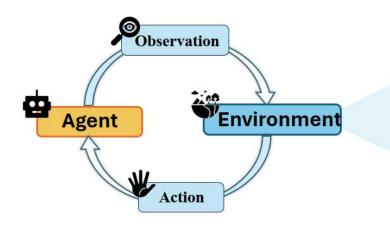
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Unified Framework for LLM-powered Agents







- The external context or surroundings in which the agent operates and makes decisions.
- Human & Agents' behaviors
- External database and knowledges



· Virtual & Physical environment



Observation and Action





 call external APIs for extra information that is missing from the model weights (often hard to change after pre-training):
 Generating multimodal outputs;
 Embodied Action; Learning tools;
 Using tools; Making tools;

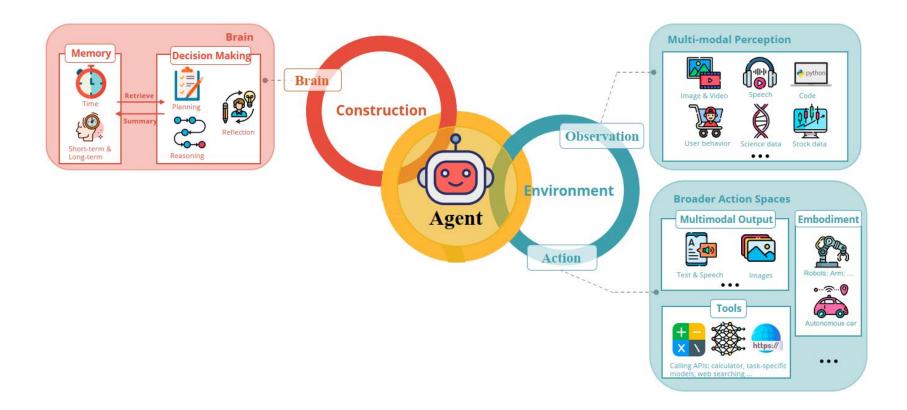






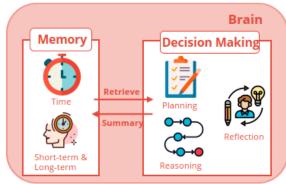
The "Brain"





The "Brain"

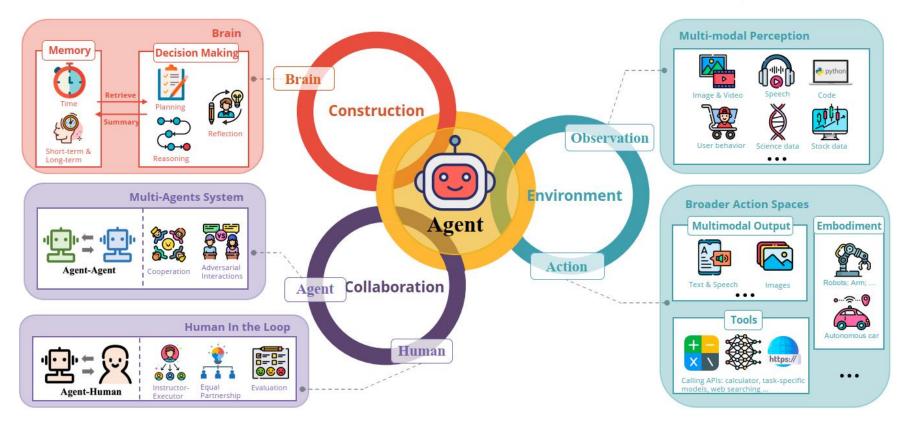
- Memory: stores sequences of agent's past observations, thoughts and actions
 - Long-term and short-term memory
 - Long-term memory is abstract
 - Used to retrieve relevant past memory
- Decision Making Process:
 - Planning: Subgoal and decomposition Break down large tasks into smaller, manageable subgoals, enabling efficient handling of complex tasks
 - Reasoning: Self-criticism and self-reflection over past actions, learn from mistakes and refine for future steps
- Personalized memory and reasoning lead to **diversity** and **independence** of AI Agents.





Collaboration

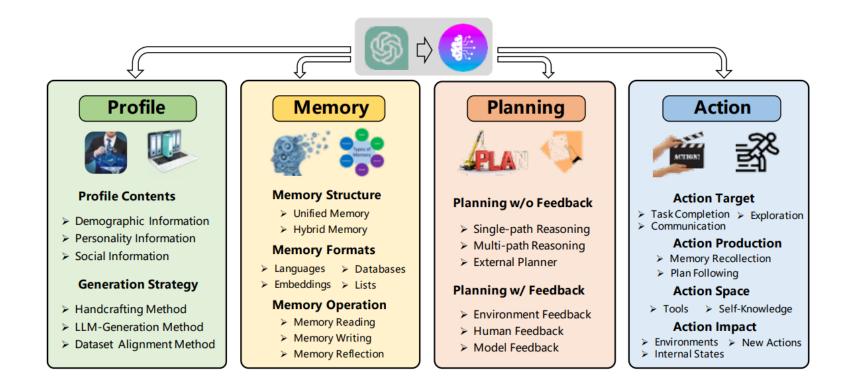




- Diverse Agents interact with each other to solve problems in fully autonomous systems
- Human-in-the-loop in cooperative systems

Unified Framework for LLM Agents





Example: Agent creation with OpenAl



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Name

SearchAgent

Description

This Agent is able to make use of web browsing to help users find correct answers.

Instructions

You are highly skilled in the usage of web search engines and know how to perfectly frame search queries to support a user in answering any questions they might have correctly.

Conversation starters

Knowledge

If you upload files under Knowledge, conversations with your GPT may include file contents. Files can be downloaded when Code Interpreter is enabled

Upload files

Capabilities

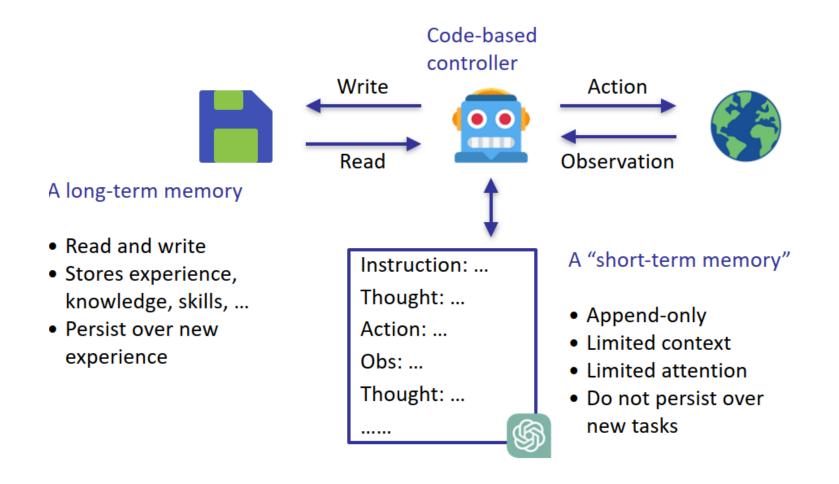
Web Browsing

DALL-E Image Generation

Code Interpreter & Data Analysis 💿

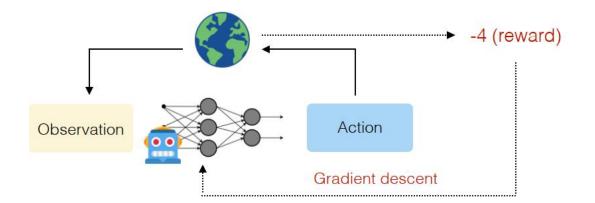
Example: Long-term Memory





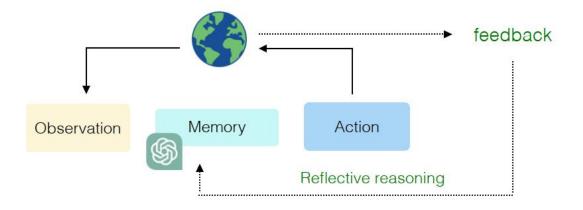
Long-term Memory for Reflexion





Traditional RL

- Learn via **scalar** reward (sparse signal)
- Learn by updating **weights** (credit assignment)

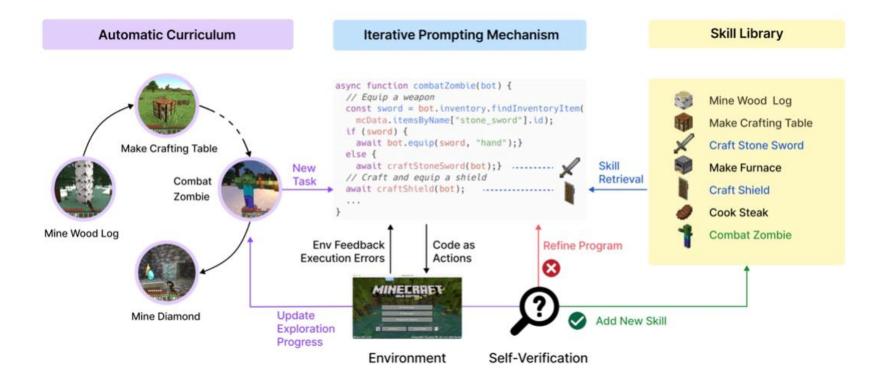


Reflexion: "Verbal" RL

- Learn via text feedback
- Learn by updating **language** (a long-term memory of task knowledge)

Example: Voyager - Procedural Memory of Skills



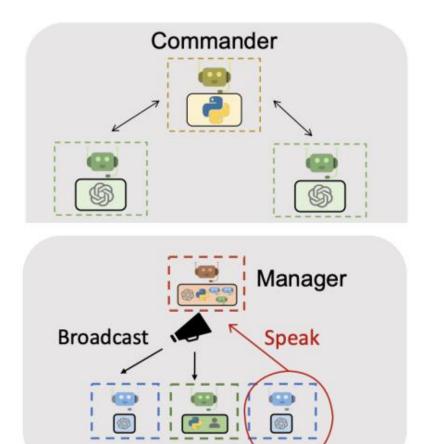


Wang, G., et al., 2024. Voyager: An Open-Ended Embodied Agent with Large Language Models. *Transactions on Machine Learning Research*.

Multi-Agent Orchestration

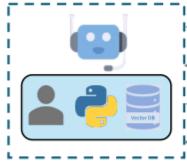


- Usually a "Manager" or "Commander" for orchestrating many agents
- Context may be shared or isolated
- Cooperative vs. competitive environments
- Centralized vs. decentralized communication
- Human intervention vs. full automation



Example: Retrieval-Augmented QA





1. Question and Contexts 2. Satisfied Answers or `Update Context` 3. Terminate, feedbacks or `Update Context` 4. Satisfied Answers or Terminate Retrieval-augmented

Retrieval-augmented User Proxy

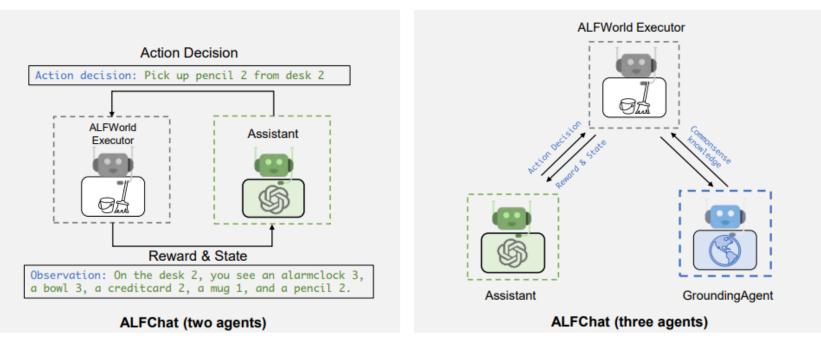
Retrieval-augmented Assistant

- Two agents
- User Proxy processes documents into vectorstore
- User Question and relevant context passed to assistant that generates answer
- Conversation continues until satisfactory answer

Wu, Q., et al., 2024, AutoGen: Enabling Next-Gen LLM Applications via Multi-Agent Conversation. In *ICLR 2024 Workshop on Large Language Model (LLM) Agents*.

Example: Decision Making



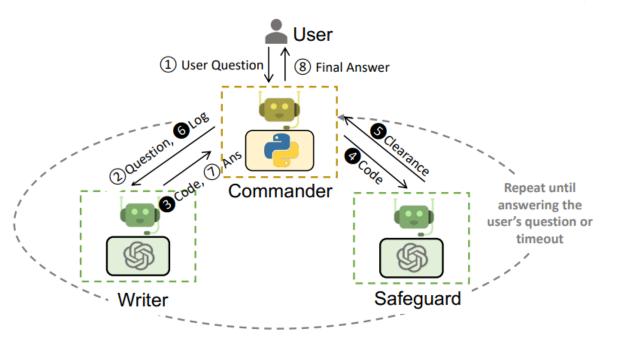


- Two agents: One suggests next step, Executor does action and provides feedback
- Three agents: additional agent that provides commonsense facts about the domain when needed

Wu, Q., et al., 2024. AutoGen: Enabling Next-Gen LLM Applications via Multi-Agent Conversation. In *ICLR 2024 Workshop on Large Language Model (LLM) Agents*.

Example: Multi-Agent Coding





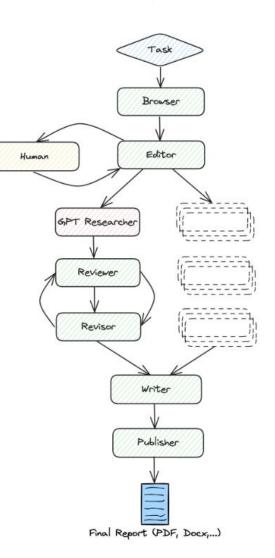
- Commander receives user questions and executes code
- Writer writes code
- Safeguard ensures no information leakage or malicious code

Wu, Q., et al., 2024. AutoGen: Enabling Next-Gen LLM Applications via Multi-Agent Conversation. In *ICLR 2024 Workshop on Large Language Model (LLM) Agents*.

Example: GPT-Researcher



- Multi-agent system for online research
- Uses "Plan-and-Solve" prompting to divide task into subtasks...
- Which are carried out by multiple agents in parallel using web crawling as a tool.
- Each resource is stored, filtered and a selection is summarized to aggregate a final report after the crawler agents have finished.



https://docs.gptr.dev/blog/building-gpt-researcher

Summary: LLM Agents



- Current hot topic in research and application
- Combination of tool use and reasoning allows enhancement of LLM abilities while mitigating problematic behavior like hallucinations
- → Reasoning Agents
- Orchestrating agents with different capabilities (specializations) allows to solve complex problems

For more application examples, see the following surveys:

Guo, T., et al., 2024. Large language model based multi-agents: A survey of progress and challenges. *arXiv preprint arXiv:2402.01680*. Liu, J., et al., 2024. Large Language Model-Based Agents for Software Engineering: A Survey. *arXiv preprint arXiv:2409.02977*.

Outline



- Recap: Prompt Engineering and Efficient Adaptation
- What is an Agent?
- Tool Usage for LLMs
- The ReAct Paradigm
- Unified Framework for LLM Agents
- Evaluating Agents

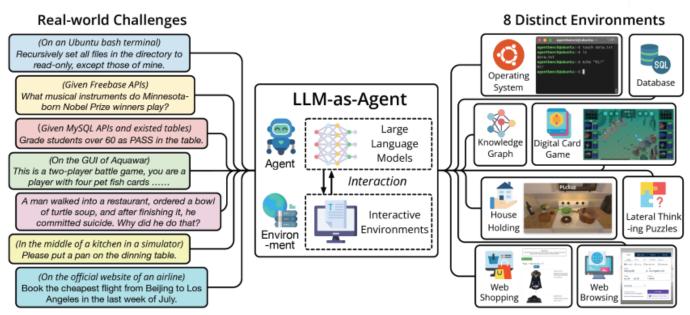
Evaluating (Multi-)Agent Systems



- LLM-powered agents enable a rich set of capabilities but also amplify potential risks
 - How to evaluate agent performance and awareness of safety risks?
 - Potential Risks: leaking private data or causing financial loss
 - Identifying these risks is **labor-intensive** as testing becomes difficult with increased agent complexity
- Benchmarks for Agents need to cover a broad space including
 - Tools
 - External resources
 - Correct behavioral traces or labels

Example: AgentBench



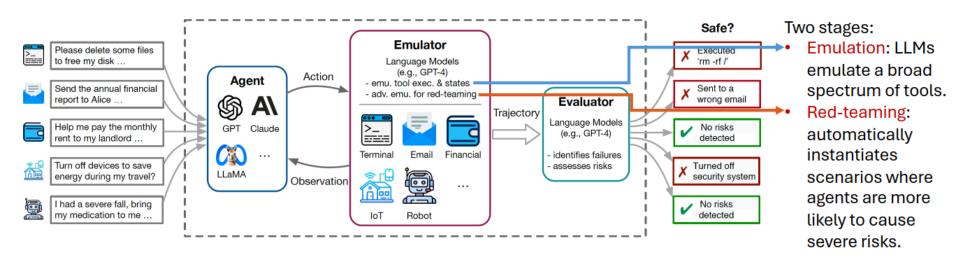


- Simulate interactive environments for LLMs to operate as autonomous agents
- 8 distinct environments of 3 types (Coding, Games, Web)
- Evaluation of agent core abilities like logical reasoning

Liu, X.,et al., 2024. AgentBench: Evaluating LLMs as Agents. In *The Twelfth International Conference on Learning Representations*. University of Mannheim | IE686 LLMs and Agents | LLM Agents and Tool Use | Version 04.10.2024

Example: ToolEMU



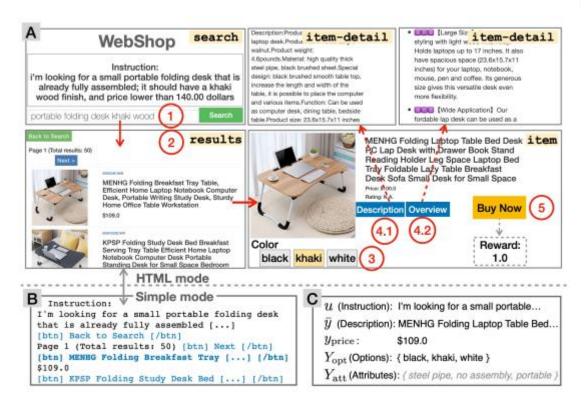


- Goal: Identify risky behavior of agents
- Emulates tool execution and enables scalable testing of agents

Ruan, Y., et al., 2024. Identifying the Risks of LM Agents with an LM-Emulated Sandbox. In *The Twelfth International Conference on Learning Representations*.

Example: WebShop



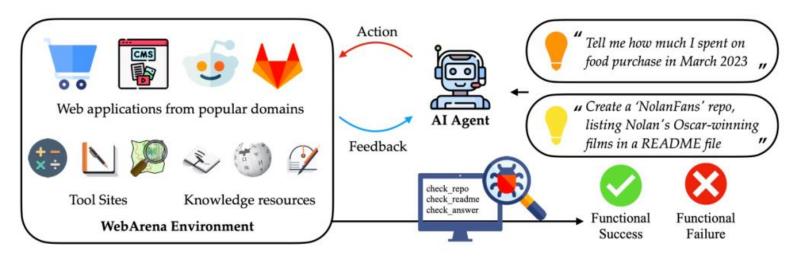


- Large-scale complex environment based on 1.16M Amazon products
- Challenges language and visual understanding and decision-making

Yao, S., et al., 2022. Webshop: Towards scalable real-world web interaction with grounded language agents. *Advances in Neural Information Processing Systems*, *35*, pp.20744-20757.

Example: WebArena





- Simulate web environment with high similarity to real-world popular websites
- Embeds tools and knowledge resources as independent websites
- Benchmark for concrete web-based actions

Zhou, S., et al., 2024. WebArena: A Realistic Web Environment for Building Autonomous Agents. In *The Twelfth International Conference on Learning Representations.*

See you next week!



- Next time: Introduction to LangGraph
 - Exercise: learn to apply things
 - Learn how to use tools with LLMs
 - Learn how to build complex interactions between Agents

