## **Governing Black-Box Agents in Competitive Multi-Agent Systems**

Michael Pernpeintner, Christian Bartelt, Heiner Stuckenschmidt

EUMAS 2021







### Governing a Competitive Multi-Agent System in a Nutshell







# **Problem** Agents in competitive MAS generally act strategically; therefore, actions cannot simply be equated with genuine intentions and goals

## **Challenge** Govern the system in order to achieve the system-level goal, but without destroying agent autonomy

Design a Governance component which learns to purposefully restrictIdeaagent actions in order to reach a system goal. Only use observations of<br/>actions and transitions, but do not try and derive agent goals





## Manually Tracking Agent Behavior and Deriving Reactions

#### Approach/Algorithm

- Track observations as a state/action counter per agent
- Derive probabilities for the next actions from observations and compute the expected cost
- Successively remove actions with high cost and high probability until the expected cost falls below a threshold

#### Main Theorem

- This algorithm produces a restriction with an expected cost for the next step which is below a pre-defined value
- Moreover, it does not unnecessarily restrict the agents, i.e., the restriction is paretominimal





## **Results and Limitations**

#### Results

- Governance can substantially decrease its cost by restricting agent actions
- A learning behavior can be observed for the degree of restriction which decreases over time
- The effect gets weaker for larger sets of agents

#### Limitations

- Scalability is poor for naïve tracking of observed actions
- Individual treatment of agents, therefore only feasible for static agent set (no generalization possible)
- Full storage of observation history and complete re-evaluation of knowledge in each step







## **Markov Decision Processes and Stochastic Games**



- If there is only one agent, the system is a Markov Decision Process (MDP); otherwise, it is called a Stochastic Game
- In both concepts, observations can be a function of the full environmental state, in which case we call the system *partially observable*
- A Governance can act on this system by defining allowed (= available) actions





## Modeling the Governance as an MDP over a Stochastic Game



Michael Pernpeintner | Institute for Enterprise Systems | University of Mannheim | June 29, 2021



- KPIs: Agent reward, Governance reward, degree of restriction, stability of the system (in particular, stability of the restriction function)
- Only toy examples like the Coordination Game have been tested so far (see graphs to the right)
- Basic functionality can be observed already: Degree of restriction goes down, both agent rewards and Governance reward go up









#### I'm looking forward to your questions, comments and suggestions!

You can also reach out to me via e-mail (pernpeintner@es.uni-mannheim.de)



Michael Pernpeintner | Institute for Enterprise Systems | University of Mannheim | June 29, 2021

